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一类非线性分数阶微分方程边值问题正解的存在性

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摘要: 本文运用 Schauder 不动点定理和 Krasnoselskii's 不动点定理获得了非线性分数阶微分方程边值问题 ${}^C D_{0^+}^\alpha u(t) = f(t, u(t), u'(t), u''(t)), t \in (0, 1), u'(0) + u''(0) = 0, u'(1) + u''(1) = 0, u(0) = 0$ 正解的存在性, 其中 $2 < \alpha \leq 3$, ${}^C D_{0^+}^\alpha$ 是 Caputo 分数阶导数.

关键词: 正解; 存在性; Schauder 不动点定理; Krasnoselskii's 不动点定理

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Existence of positive solutions for a class of nonlinear fractional differential equations with boundary values

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Abstract: In this paper, by using the Schauder fixed point theorem and Krasnoselskii's fixed point theorem, the existence of positive solutions for the boundary value problem of the nonlinear fractional differential equation ${}^C D_{0^+}^\alpha u(t) = f(t, u(t), u'(t), u''(t)), t \in (0, 1), u'(0) + u''(0) = 0, u'(1) + u''(1) = 0, u(0) = 0$ is obtained, where $2 < \alpha \leq 3$, ${}^C D_{0^+}^\alpha$ is the Caputo fractional derivative.

Keywords: Positive solution; Existence; Schauder fixed point theorem; Krasnoselskii's fixed point theorem

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1 引言

分数阶微分方程出现在很多领域中,如生物物理,地球物理,血液动物学,空气动力学等.目前,对于非线性项不含一阶导数和二阶导数的分数阶边值问题正解的存在性已有很多结果^[1-14].例如,梁等^[12]运用序集上的不动点定理获得了分数阶三点边值问题 ${}^C D_{0^+}^\alpha u(t) + f(t, u(t)) = 0, t \in (0, 1), u(0) = u'(0) = u''(0) = 0, u''(1) = \beta u''(\eta)$ 解的存在性,其中 $3 < \alpha \leq 4$, ${}^C D_{0^+}^\alpha$ 是 Riemann-Liouville 分数阶导数.张^[13]运用锥上的不动点定理研究了分数阶微分方程边值问题 ${}^C D_{0^+}^\alpha u(t) = f(t, u(t)), t \in$

$(0, 1), u(0) + u'(0) = 0, u(1) + u'(1) = 0$ 正解的存在性与多重性,其中常数 $1 < \alpha \leq 2$, ${}^C D_{0^+}^\alpha$ 是 Caputo 分数阶导数,且 $f: [0, 1] \times [0, +\infty) \rightarrow [0, +\infty)$ 连续.赵^[6]运用迭代法研究了分数阶四点边值问题 ${}^C D_{0^+}^\alpha u(t) + f(t, u(t)) = 0, t \in (0, 1), u'(0) - \beta u(\xi) = 0, u'(1) + \gamma u(\eta) = 0$ 正解的存在性与多重性,其中 $1 < \alpha \leq 2, 0 \leq \xi \leq \eta \leq 1, 0 \leq \beta, \gamma \leq 1$.

受以上结果的启发,本文用 Schauder 不动点定理和 Krasnoselskii's 不动点定理研究了分数阶边值问题

$${}^C D_{0^+}^\alpha u(t) = f(t, u(t), u'(t), u''(t)), t \in (0, 1),$$

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$$u'(0) + u''(0) = 0, u'(1) + u''(1) = 0, u(0) = 0 \tag{1}$$

正解的存在性. 其中 $2 < \alpha \leq 3$. 与已有文献相比, 本文所用方法不同且非线性项 f 含一阶导数项 u' 和二阶导数项 u'' .

2 预备知识

记 $X = C^2[0, 1]$, 其中 $\|u\| = [\|u\|_\infty^2 + \|u'\|_\infty^2 + \|u''\|_\infty^2]^{\frac{1}{2}}$, $\|u\|_\infty = \max_{0 \leq t \leq 1} |u(t)|$. 记锥 $P = \{u \mid u \in X, u(t) \geq 0\}$.

定义 2.1^[15] 连续函数 $u: (0, +\infty) \rightarrow \mathbf{R}$ 的 $\alpha > 0$ 阶 Riemann-Liouville 分数阶积分定义为:

$$I_0^{\alpha+} u(t) = \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} u(s) ds.$$

引理 2.2^[15] 设 $\alpha > 0$. 则 ${}^C D_0^{\alpha+} u(t) = 0$ 有解

$$u(t) = c_0 + c_1 t + \dots + c_n t^{n-1}, c_i \in \mathbf{R}, i = 0, 1, 2, \dots, n, n = [\alpha] + 1.$$

引理 2.3^[15] 设 $\alpha > 0$. 则 ${}^C D_0^{\alpha+} u(t) = y(t)$ 有解

$$u(t) = y(t) + c_0 + c_1 t + \dots + c_n t^{n-1}, c_i \in \mathbf{R}, i = 0, 1, 2, \dots, n, n = [\alpha] + 1.$$

引理 2.4^[2] 令 $g(t) \in C[0, 1]$. 则边值问题 $\begin{cases} {}^C D_0^{\alpha+} u(t) = g(t), t \in (0, 1), \\ u'(0) + u''(0) = 0, u'(1) + u''(1) = 0, u(0) = 0 \end{cases}$ (2)

有解

$$u(t) = \int_0^1 G(t, s) g(s) ds,$$

其中

$$G(t, s) = \begin{cases} \frac{(t-s)^{\alpha-1}}{\Gamma(\alpha)} + \frac{(1-s)^{\alpha-2}(t-\frac{t^2}{2})}{\Gamma(\alpha-1)} + \frac{(1-s)^{\alpha-3}(t-\frac{t^2}{2})}{\Gamma(\alpha-2)}, & 0 \leq s \leq t \leq 1, \\ \frac{(1-s)^{\alpha-2}(t-\frac{t^2}{2})}{\Gamma(\alpha-1)} + \frac{(1-s)^{\alpha-3}(t-\frac{t^2}{2})}{\Gamma(\alpha-2)}, & 0 \leq t \leq s \leq 1. \end{cases}$$

由引理 2.4, 边值问题(1)有解

$$u(t) = \int_0^t \frac{(t-s)^{\alpha-1}}{\Gamma(\alpha)} f(s, u(s), u'(s), u''(s)) ds + \int_0^1 \left[\frac{(1-s)^{\alpha-2}(t-\frac{t^2}{2})}{\Gamma(\alpha-1)} + \frac{(1-s)^{\alpha-3}(t-\frac{t^2}{2})}{\Gamma(\alpha-2)} \right] f(s, u(s), u'(s), u''(s)) ds.$$

定义算子 $T: X \rightarrow X$:

$$(Tu)(t) = \int_0^t \frac{(t-s)^{\alpha-1}}{\Gamma(\alpha)} f(s, u(s), u'(s), u''(s)) ds + \int_0^1 \left[\frac{(1-s)^{\alpha-2}(t-\frac{t^2}{2})}{\Gamma(\alpha-1)} + \frac{(1-s)^{\alpha-3}(t-\frac{t^2}{2})}{\Gamma(\alpha-2)} \right] f(s, u(s), u'(s), u''(s)) ds.$$

引理 2.5^[2] $G(t, s)$ 满足下列条件:

- (i) $G(t, s) \in C((0, 1] \times [0, 1))$ 且对任意的 $t, s \in (0, 1] \times [0, 1)$, 都有 $G(t, s) > 0$;
- (ii) 存在非负函数 $\gamma(s) \in C[0, 1)$, 使得

$$\begin{aligned} \min_{\frac{1}{3} \leq t \leq \frac{2}{3}} G(t, s) &\geq \gamma(s) M(s) \\ \max_{0 \leq t \leq 1} G(t, s) &\leq M(s), \\ M(s) &= \frac{(1-s)^{\alpha-1}}{\Gamma(\alpha)} + \frac{(1-s)^{\alpha-2}}{2\Gamma(\alpha-1)} + \frac{(1-s)^{\alpha-3}}{2\Gamma(\alpha-2)}, \end{aligned}$$

$$\gamma(s) = \frac{5(\alpha-1)(1-s) + 5(\alpha-1)(\alpha-2)}{18(1-s)^{\alpha-2} + 9(\alpha-1)(1-s) + 9(\alpha-1)(\alpha-2)}.$$

设 X 是 Banach 空间, $P \subset X$ 是一个锥, $\alpha, \beta, \delta: X \rightarrow \mathbf{R}^+$ 的连续凸函数满足 $\alpha(\lambda u) = |\lambda| \alpha(u)$,

$\beta(\lambda u) = |\lambda| \beta(u), \delta(\lambda u) = |\lambda| \delta(u), u \in X, \lambda \in \mathbf{R}$, 且 $\|u\| \leq m \max\{\alpha(u), \beta(u)\}, u \in X, \alpha(u_1) \leq \alpha(u_2)$,

$u_1, u_2 \in P, u_1 \leq u_2$, 其中 $m > 0$ 是常数. 对任意 $u \in X$, 定义泛函

$$\alpha(u) = \max_{0 \leq t \leq 1} |u(t)|, \beta(u) = \max_{0 \leq t \leq 1} |u'(t)|, \delta(u) = \max_{0 \leq t \leq 1} |u''(t)|.$$

引理 2.6^[1] 设 $r_2 > r_1 > 0, H > L > 0$ 为常数, 且 $\Omega_i = \{u \in X: \alpha(u) < r_i, \beta(u) < L, \delta(u) < H\}$ 是有界开集, $i = 1, 2$. 设 $D_i = \{u \in X, \alpha(u) = r_i\}, T: P \rightarrow P$ 全连续且满足:

- (C₁) $\alpha(Tu) < r_1, u \in D_1 \cap P; \alpha(Tu) > r_2, u \in D_2 \cap P;$
- (C₂) $\beta(Tu) < L, u \in P; \delta(Tu) < H, u \in P;$
- (C₃) 存在 $P \in (\overline{\Omega_2} \cap P) \setminus \{0\}$, 使得 $\alpha(p) \neq 0$, 且 $\alpha(u + \lambda p) \geq \alpha(u), u \in p, \lambda \geq 0$,

则 T 在 $(\Omega_2 \setminus \overline{\Omega_1}) \cap P$ 中至少有一个不动点.

3 主要结果

引理 3.1 设 $f \in C([0, 1] \times [0, +\infty) \times \mathbf{R}^2 \rightarrow [0, +\infty))$, 则 $T: P \rightarrow P$ 是全连续的.

证明 由引理 2.5 及算子的定义知 $Tu \in X$ 且 $(Tu)(t) > 0$. 因此, $T(P) \subset P$.

下证 T 连续. 因为 $f \in C([0, 1] \times [0, +\infty) \times \mathbf{R}^2, [0, +\infty))$, 且 $G(t, s) \in C((0, 1] \times [0, 1))$, 则 T 连续.

最后证 T 是紧的. 令 $\Omega \subset P$ 是有界的, 即对任意 $u \in \Omega$, 存在实数 $r > 0$, 使得 $\|u\| \leq r$. 由范数的定义可知, $|u| \leq r, |u'| \leq r, |u''| \leq r$. 令

$$\eta = \max_{0 \leq t \leq 1, 0 \leq u \leq r, 0 \leq u' \leq r, 0 \leq u'' \leq r} |f(t, u, u', u'')| + 1.$$

则

$$\begin{aligned} |(Tu)(t)| &\leq \int_0^1 G(t, s) |f(s, u(s), u'(s), u''(s))| ds \leq \eta \int_0^1 M(s) ds \leq \frac{3\eta}{\Gamma(\alpha - 1)}, \\ |(Tu)'(t)| &\leq \int_0^t \frac{(t-s)^{\alpha-2}}{\Gamma(\alpha-1)} |f(s, u(s), u'(s), u''(s))| ds + \\ &\int_0^1 \left[\frac{(1-s)^{\alpha-2}(1-t)}{\Gamma(\alpha-1)} + \frac{(1-s)^{\alpha-3}(1-t)}{\Gamma(\alpha-2)} \right] |f(s, u(s), u'(s), u''(s))| ds \leq \\ &\int_0^t \frac{(t-s)^{\alpha-2}}{\Gamma(\alpha-1)} \eta ds + \int_0^1 \left[\frac{(1-s)^{\alpha-2}(1-t)}{\Gamma(\alpha-1)} + \frac{(1-s)^{\alpha-3}(1-t)}{\Gamma(\alpha-2)} \right] \eta ds \leq \frac{3\eta}{\Gamma(\alpha-1)}, \\ |(Tu)''(t)| &\leq \int_0^t \frac{(t-s)^{\alpha-3}}{\Gamma(\alpha-2)} |f(s, u(s), u'(s), u''(s))| ds + \\ &\int_0^1 \left[-\frac{(1-s)^{\alpha-2}}{\Gamma(\alpha-1)} - \frac{(1-s)^{\alpha-3}}{\Gamma(\alpha-2)} \right] |f(s, u(s), u'(s), u''(s))| ds \leq \\ &\int_0^t \frac{(t-s)^{\alpha-3}}{\Gamma(\alpha-2)} \eta ds + \int_0^1 \left[-\frac{(1-s)^{\alpha-2}}{\Gamma(\alpha-1)} - \frac{(1-s)^{\alpha-3}}{\Gamma(\alpha-2)} \right] \eta ds \leq \frac{3\eta}{\Gamma(\alpha-1)}. \end{aligned}$$

从而 $T(\Omega)$ 一致有界. 另一方面, 对任意 $\epsilon > 0$, 取 $\delta = \frac{\epsilon \Gamma(\alpha - 1)}{3\eta}$. 则对任意 $u \in T(\Omega), t_1, t_2 \in [0, 1]$,

当 $|t_2 - t_1| < \delta$ 时有

$$\begin{aligned} |(Tu)(t_2) - (Tu)(t_1)| &\leq \int_0^{t_1} |G(t_2, s) - G(t_1, s)| |f(s, u(s), u'(s), u''(s))| ds + \\ &\int_{t_1}^{t_2} |G(t_2, s) - G(t_1, s)| |f(s, u(s), u'(s), u''(s))| ds + \\ &\int_{t_2}^1 |G(t_2, s) - G(t_1, s)| |f(s, u(s), u'(s), u''(s))| ds \leq \\ &\int_0^{t_1} \left[\frac{(t_2-s)^{\alpha-1} - (t_1-s)^{\alpha-1}}{\Gamma(\alpha)} + \frac{(t_2-t_1)(1-\frac{t_2}{2}-\frac{t_1}{2})(1-s)^{\alpha-2}}{\Gamma(\alpha-1)} \right] \eta ds + \end{aligned}$$

$$\begin{aligned}
 & \left. \frac{(t_2 - t_1)(1 - \frac{t_2}{2} - \frac{t_1}{2})(1 - s)^{\alpha-3}}{\Gamma(\alpha - 2)} \right] \eta ds + \\
 & \int_{t_1}^{t_2} \left[\frac{(t_2 - t_1)(1 - \frac{t_2}{2} - \frac{t_1}{2})(1 - s)^{\alpha-2}}{\Gamma(\alpha - 1)} + \right. \\
 & \left. \frac{(t_2 - s)^{\alpha-1}}{\Gamma(\alpha)} + \frac{(t_2 - t_1)(1 - \frac{t_2}{2} - \frac{t_1}{2})(1 - s)^{\alpha-3}}{\Gamma(\alpha - 2)} \right] \eta ds + \\
 & \int_{t_2}^1 \left[\frac{(t_2 - t_1)(1 - \frac{t_2}{2} - \frac{t_1}{2})(1 - s)^{\alpha-2}}{\Gamma(\alpha - 1)} + \right. \\
 & \left. \frac{(t_2 - t_1)(1 - \frac{t_2}{2} - \frac{t_1}{2})(1 - s)^{\alpha-3}}{\Gamma(\alpha - 2)} \right] \eta ds \leq \\
 & \left[\frac{t_2^\alpha - t_1^\alpha}{\Gamma(\alpha + 1)} + \frac{2(t_2 - t_1)}{\Gamma(\alpha)} \right] \eta \leq \frac{3(t_2 - t_1)}{\Gamma(\alpha - 1)} \eta, \\
 | (Tu)'(t_2) - (Tu)'(t_1) | & \leq \int_0^{t_1} \left[\frac{(t_2 - s)^{\alpha-2} - (t_1 - s)^{\alpha-2}}{\Gamma(\alpha - 1)} + \frac{(t_2 - t_1)(1 - s)^{\alpha-2}}{\Gamma(\alpha - 1)} + \right. \\
 & \left. \frac{(t_2 - t_1)(1 - s)^{\alpha-3}}{\Gamma(\alpha - 2)} \right] \eta ds + \int_{t_1}^{t_2} \left[\frac{(t_2 - t_1)(1 - s)^{\alpha-2} + (t_2 - s)^{\alpha-2}}{\Gamma(\alpha - 1)} + \right. \\
 & \left. \frac{(t_2 - t_1)(1 - s)^{\alpha-3}}{\Gamma(\alpha - 2)} \right] \eta ds + \\
 & \int_{t_2}^1 \left[\frac{(t_2 - t_1)(1 - s)^{\alpha-2}}{\Gamma(\alpha - 1)} + \frac{(t_2 - t_1)(1 - s)^{\alpha-3}}{\Gamma(\alpha - 2)} \right] \eta ds \leq \\
 & \left[\frac{t_2^\alpha - t_1^\alpha}{\Gamma(\alpha + 1)} + \frac{2(t_2 - t_1)}{\Gamma(\alpha)} \right] \eta \leq \frac{3(t_2 - t_1)}{\Gamma(\alpha - 1)} \eta, \\
 | (Tu)''(t_2) - (Tu)''(t_1) | & \leq \frac{3(t_2 - t_1)}{\Gamma(\alpha - 1)} \eta.
 \end{aligned}$$

因此 $T(\Omega)$ 等度连续. 由 Arzela-Ascoli 定理可知, $\overline{T(\Omega)}$ 是相对紧的. 于是 $T: P \rightarrow P$ 是全连续的. 证毕.

记

$$A = \frac{1}{\Gamma(\alpha)} + \frac{2}{\Gamma(\alpha - 2)},$$

$$\tau = \max_{0 \leq t \leq 1} \int_0^t \frac{(t - s)^{\alpha-2}}{\Gamma(\alpha - 1)} a(s) ds +$$

$$\int_0^1 \left[\frac{(1 - s)^{\alpha-2}(1 - t)}{\Gamma(\alpha - 1)} + \frac{(1 - s)^{\alpha-3}(1 - t)}{\Gamma(\alpha - 2)} \right] a(s) ds +$$

$$\int_0^1 a(s) ds.$$

定理 3.2 设 $f \in C([0, 1] \times [0, +\infty) \times \mathbf{R}^2, [0, +\infty))$, 且存在非负函数 $a(t) \in C[0, 1]$, 使得

$$|f(t, u, v, w)| \leq m_1 |u|^{\sigma_1} + m_2 |v|^{\sigma_2} +$$

$$m_3 |w|^{\sigma_3} + a(t), m_i > 0, 0 < \sigma_i < 1,$$

$$i = 1, 2, 3.$$

则边值问题(1)有一个正解.

证明 令

$$\overline{P}_a = \{u | u \in P, \|u\| \leq a\},$$

$$a \geq \max\{(4m_1 A)^{\frac{1}{1-\sigma_1}}, (4m_2 A)^{\frac{1}{1-\sigma_2}},$$

$$(4m_3 A)^{\frac{1}{1-\sigma_3}}, 4\tau\}.$$

下证 $T: \overline{P}_a \rightarrow \overline{P}_a$. 若 $u \in \overline{P}_a$, 有

$$0 \leq u(t) \leq \max_{0 \leq t \leq 1} |u(t)| \leq \|u\| \leq a,$$

$$0 \leq u'(t) \leq \max_{0 \leq t \leq 1} |u'(t)| \leq \|u'\| \leq a,$$

$$0 \leq u''(t) \leq \max_{0 \leq t \leq 1} |u''(t)| \leq \|u''\| \leq a.$$

则

$$f(t, u, v, w) \leq m_1 |a|^{\sigma_1} + m_2 |a|^{\sigma_2} +$$

$$m_3 |a|^{\sigma_3} + a(t), m_i > 0, 0 < \sigma_i < 1,$$

$$i = 1, 2, 3.$$

又因为

$$\begin{aligned}
| (Tu)(t) | &= \left| \int_0^1 G(t,s) f(s, u(s), u'(s), u''(s)) ds \right| \leq \\
&(m_1 | a |^{\sigma_1} + m_2 | a |^{\sigma_2} + m_3 | a |^{\sigma_3}) \int_0^1 M(s) ds + \int_0^1 a(s) M(s) ds \leq \\
&(m_1 | a |^{\sigma_1} + m_2 | a |^{\sigma_2} + m_3 | a |^{\sigma_3}) \left[\frac{1}{\Gamma(\alpha)} + \frac{2}{\Gamma(\alpha-2)} \right] + \int_0^1 a(s) M(s) ds, \\
| (Tu)'(t) | &\leq \int_0^1 \left| \frac{(t-s)^{\alpha-2}}{\Gamma(\alpha-1)} \right| f(s, u(s), u'(s), u''(s)) ds + \\
&\int_0^1 \left| \frac{(1-s)^{\alpha-2}(1-t)}{\Gamma(\alpha-1)} + \frac{(1-s)^{\alpha-3}(1-t)}{\Gamma(\alpha-2)} \right| f(s, u(s), u'(s), u''(s)) ds \leq \\
&\int_0^t \frac{(t-s)^{\alpha-2}}{\Gamma(\alpha-1)} a(s) ds + \int_0^1 \left[\frac{(1-s)^{\alpha-2}(1-t)}{\Gamma(\alpha-1)} + \frac{(1-s)^{\alpha-3}(1-t)}{\Gamma(\alpha-2)} \right] a(s) ds + \\
&(m_1 | a |^{\sigma_1} + m_2 | a |^{\sigma_2} + m_3 | a |^{\sigma_3}) \left[\frac{1}{\Gamma(\alpha)} + \frac{2}{\Gamma(\alpha-2)} \right], \\
| (Tu)''(t) | &\leq \int_0^t \left| \frac{(t-s)^{\alpha-3}}{\Gamma(\alpha-2)} \right| f(s, u(s), u'(s), u''(s)) ds + \\
&\int_0^1 \left| -\frac{(1-s)^{\alpha-2}}{\Gamma(\alpha-1)} - \frac{(1-s)^{\alpha-3}}{\Gamma(\alpha-2)} \right| f(s, u(s), u'(s), u''(s)) ds \leq \\
&\int_0^t \frac{(t-s)^{\alpha-3}}{\Gamma(\alpha-2)} a(s) ds + \int_0^1 \left[-\frac{(1-s)^{\alpha-2}}{\Gamma(\alpha-1)} - \frac{(1-s)^{\alpha-3}}{\Gamma(\alpha-2)} \right] a(s) ds + \\
&(m_1 | a |^{\sigma_1} + m_2 | a |^{\sigma_2} + m_3 | a |^{\sigma_3}) \left[\frac{1}{\Gamma(\alpha)} + \frac{2}{\Gamma(\alpha-2)} \right], \\
\| Tu \| &= \left[\| Tu \|_{\infty}^2 + \| (Tu)' \|_{\infty}^2 + \| (Tu)'' \|_{\infty}^2 \right]^{\frac{1}{2}} \leq \\
&\max_{0 \leq t \leq 1} \| (Tu)(t) \| + \max_{0 \leq t \leq 1} \| (Tu)'(t) \| + \max_{0 \leq t \leq 1} \| (Tu)''(t) \| \leq \\
&\tau + (m_1 | a |^{\sigma_1} + m_2 | a |^{\sigma_2} + m_3 | a |^{\sigma_3}) A \leq \\
&\frac{a}{4} + \frac{a}{4} + \frac{a}{4} + \frac{a}{4} \leq a,
\end{aligned}$$

从而得 $T: \overline{P_a} \rightarrow \overline{P_a}$. 由引理 3.1, $T: \overline{P_a} \rightarrow \overline{P_a}$ 是全连续的. 因此, 由 Schauder 不动点定理得边值问题 (1) 存在一个正解.

记

$$\begin{aligned}
I &= \int_0^1 M(s) ds, \\
J &= \int_{\frac{1}{3}}^{\frac{2}{3}} \gamma(s) M(s) ds, \\
Q &= \frac{1}{\Gamma(\alpha)} + \frac{2}{\Gamma(\alpha-2)}.
\end{aligned}$$

注 1 由引理 2.5 知 $\gamma(s)$ 在 $[0, 1]$ 上递增. 从

而 $\gamma(s) \geq \gamma(0)$. 令 $\gamma_0 = \gamma(0)$. 若存在非负函数 $g(s)$ 使得 $u(t) = \int_0^1 G(t,s)g(s)ds$, 则

$$\begin{aligned}
\min_{\frac{1}{3} \leq t \leq \frac{2}{3}} u(t) &= \min_{\frac{1}{3} \leq t \leq \frac{2}{3}} \int_0^1 G(t,s)g(s)ds \geq \\
\gamma_0 \int_0^1 G(t,s)g(s)ds &= \gamma_0 u(t).
\end{aligned}$$

下面我们将应用引理 2.6 研究边值问题 (1) 正解的存在性. 本文假设: 存在 $H > L > b > \gamma_0 b > c > 0$, 使得 $f(t, u, v, w)$ 满足

- (H1) $f(t, u, v, w) < \frac{c}{I}, (t, u, v, w) \in [0, 1] \times [0, c] \times [-L, L] \times [-H, H];$
- (H2) $f(t, u, v, w) \geq \frac{b}{J}, (t, u, v, w) \in [0, 1] \times [\gamma_0 b, b] \times [-L, L] \times [-H, H];$
- (H3) $f(t, u, v, w) < \frac{L}{Q}, (t, u, v, w) \in [0, 1] \times [0, b] \times [-L, L] \times [-H, H].$

为了应用锥上的不动点定理, 需作以下辅助函数:

$$\hat{f}(t, u, v, w) = \begin{cases} f(t, u, v, w), & (t, u, v, w) \in [0, 1] \times [0, b] \times (-\infty, +\infty) \times (-\infty, +\infty), \\ f(t, b, v, w), & (t, u, v, w) \in [0, 1] \times [b, +\infty) \times (-\infty, +\infty) \times (-\infty, +\infty); \end{cases}$$

$$f^*(t, u, v, w) = \begin{cases} f(t, u, v, w), (t, u, v, w) \in [0, 1] \times [0, +\infty) \times (-L, L) \times (-\infty, +\infty), \\ f(t, u, -L, w), (t, u, v, w) \in [0, 1] \times [0, +\infty) \times (-\infty, -L) \times (-\infty, +\infty), \\ f(t, u, L, w), (t, u, v, w) \in [0, 1] \times [0, +\infty) \times (L, +\infty) \times (-\infty, +\infty); \end{cases}$$

$$\bar{f}(t, u, v, w) = \begin{cases} f^*(t, u, v, w), (t, u, v, w) \in [0, 1] \times [0, +\infty) \times (-\infty, +\infty) \times [-H, H], \\ f^*(t, u, v, -H), (t, u, v, w) \in [0, 1] \times [0, +\infty) \times (-\infty, +\infty) \times (-\infty, -H], \\ f^*(t, u, v, H), (t, u, v, w) \in [0, 1] \times [0, +\infty) \times (-\infty, +\infty) \times (H, +\infty). \end{cases}$$

则对 $f \in C([0, 1] \times [0, +\infty) \times \mathbf{R}^2 \rightarrow [0, +\infty))$ 有

$$(\bar{T}u)(t) = \int_0^1 G(t, s) \bar{f}(s, u(s), u'(s), u''(s)) ds.$$

定理 3.3 设 f 在 $[0, 1] \times [0, +\infty) \times \mathbf{R}^2 \rightarrow [0, +\infty)$ 连续且(H1)~(H3)成立. 则边值问题至少有一个正解 $u(t)$ 满足

$$c < \alpha(u) < b, |u'(t)| < L, |u''(t)| < H.$$

证明 令

$$\Omega_1 = \{u \in X, |u(t)| < c, |u'(t)| < L, |u''(t)| < H\},$$

$$\Omega_2 = \{u \in X, |u(t)| < b, |u'(t)| < L, |u''(t)| < H\},$$

且

$$D_1 = \{u \in X, \alpha(u) = c\},$$

$$D_2 = \{u \in X, \alpha(u) = b\}.$$

下面分别验证引理 2.6 中的(C1)~(C3)成立.

由(H1)及 $\alpha(u) = c, u \in D_1 \cap P$ 有

$$\alpha(\bar{T}u) = \max_{0 \leq t \leq 1} \left| \int_0^1 G(t, s) \bar{f}(s, u(s), u'(s), u''(s)) ds \right| \leq \max_{0 \leq t \leq 1} \int_0^1 G(t, s) \frac{c}{I} ds \leq \frac{c}{I} \int_0^1 G(t, s) ds \leq c.$$

由(H2), $\alpha(u) = b, u \in D_2 \cap P$ 及注 1 有

$$\alpha(\bar{T}u) = \max_{0 \leq t \leq 1} \left| \int_0^1 G(t, s) \bar{f}(s, u(s), u'(s), u''(s)) ds \right| > \max_{0 \leq t \leq 1} \int_{\frac{1}{3}}^{\frac{2}{3}} G(t, s) \frac{b}{J} ds > \frac{b}{J} \int_{\frac{1}{3}}^{\frac{2}{3}} G(t, s) ds = b.$$

从而得(C1)成立.

由(H3)及 $u \in P \cap \Omega_2$, 有

$$\beta(\bar{T}u) \leq \max_{0 \leq t \leq 1} \int_0^t \left| \frac{(t-s)^{\alpha-2}}{\Gamma(\alpha-1)} \bar{f}(s, u(s), u'(s), u''(s)) ds + \int_0^1 \left| \frac{(1-s)^{\alpha-2}(1-t)}{\Gamma(\alpha-1)} + \frac{(1-s)^{\alpha-3}(1-t)}{\Gamma(\alpha-2)} \right| \bar{f}(s, u(s), u'(s), u''(s)) ds \right| < \left[\frac{1}{\Gamma(\alpha)} + \frac{2}{\Gamma(\alpha-1)} \right] \frac{L}{Q} = L.$$

$$\delta(\bar{T}u) \leq \max_{0 \leq t \leq 1} \int_0^t \left| \frac{(t-s)^{\alpha-3}}{\Gamma(\alpha-2)} \bar{f}(s, u(s), u'(s), u''(s)) ds - \int_0^1 \left| \frac{(1-s)^{\alpha-2}}{\Gamma(\alpha-1)} + \frac{(1-s)^{\alpha-3}}{\Gamma(\alpha-2)} \right| \bar{f}(s, u(s), u'(s), u''(s)) ds \right| < \left[\frac{1}{\Gamma(\alpha)} + \frac{2}{\Gamma(\alpha-1)} \right] \frac{H}{Q} = H.$$

从而得(C2)成立.

又由引理 3.1 知 $\bar{T}: P \rightarrow P$ 是全连续的. 则存在非负函数 $p \in (\Omega_2 \cap P) \setminus \{0\}$, 使得 $\alpha(u + \lambda p) \geq \alpha(u), u \in p, \lambda \geq 0$. 从而得(C3)成立.

于是, 由引理 2.6 知, 存在 $u \in (\Omega_2 \setminus \bar{\Omega}_1) \cap P$, 使得 $u(t) = (\bar{T}u)(t)$. 因此, $u(t)$ 是边值问题(1)的一个正解, 且满足:

$$c < \alpha(u) < b, |u'(t)| < L, |u''(t)| < H.$$

证毕.

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