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# 基于全同态加密与对称加密融合的批处理研究

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**摘要:** 现有的全同态加密方案都具有很大的密文膨胀问题, 该问题是制约实际应用的重要瓶颈. 为了提高传输效率, Naehrig 等提出了混合加密的想法, 即用户使用密钥为  $k$  的对称算法  $E$  加密明文  $m$ , 再使用公钥为  $pk$  的全同态方案加密密钥  $k$ , 将缩小尺寸后的密文  $c' = (HE_{pk}(k), E_k(m))$  发送给云端, 云端可以同态运算解密电路  $C_{E^{-1}}$  解压出同态密文  $HE_{pk}(m)$ . 本文将全同态加密与对称加密融合方案推广到批处理形式, 利用中国剩余定理将  $l$  个密文  $E_k(m_0), \dots, E_k(m_{l-1})$  打包进一个密文  $C$  中, 将  $C' = (HE_{pk}(k), C)$  发送给云端. 云端利用  $C'$ , 只需要同态运算  $C_{E^{-1}}$  一次就可以恢复出全部的  $HE_{pk}(m_i)$ , 这个过程在原方案中需要进行  $l$  次. 通过这种方式, 极大地缩短了原本需要耗费大量计算的同态运算解密电路过程. 文中以批处理 GSW13 全同态加密与 FLIP 流密码融合方案为例详细说明了这一过程. 与原方案相比, 对于安全参数为  $\lambda$  的 FLIP 流密码方案, 批处理方案可以将这个过程的计算复杂性从  $\tilde{O}(\lambda^3)$  缩小到  $\tilde{O}(\lambda^2)$ .

**关键词:** 全同态加密; 对称加密; 批处理加密; 中国剩余定理; 同态运算

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## Research based on batch fully homomorphic encryption-symmetric encryption

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**Abstract:** All homomorphic encryption schemes proposed so far suffer from a very large ciphertext expansion, which is a very significant bottleneck in practice. In order to improve the transmission efficiency, Naehrig *et al.* proposed an idea of hybrid encryption, i. e. a user encrypt some plaintext  $m$  with a symmetric encryption scheme  $E$  under some private key  $k$ , and encrypt the private key  $k$  with a homomorphic encryption scheme under some public key  $pk$ , transmit a much smaller ciphertext  $c' = (HE_{pk}(k), E_k(m))$  that cloud decompresses homomorphically into the  $HE_{pk}(m)$  through a decryption circuit  $C_{E^{-1}}$ . In this paper, we extend the Fully Homomorphic Encryption-Symmetric Encryption framework into a batch one, i. e. we use the Chinese Remainder Theorem to pack  $l$  ciphertexts  $E_k(m_0), \dots, E_k(m_{l-1})$  into a single  $C$ , send  $C' = (HE_{pk}(k), C)$  to the cloud. Given  $C'$ , cloud only needs to homomorphically evaluate  $C_{E^{-1}}$  for once to recover all  $HE_{pk}(m_i)$ , rather than  $l$  times in original scheme. By this way, we can greatly reduce the times of homomorphically evaluating decryption circuit, which costs a lot of computation. We also give out an instance of batch GSW13-FLIP scheme to explain in detail. Comparing to original scheme, our batch scheme can reduce the computational complexity from  $\tilde{O}(\lambda^3)$  to  $\tilde{O}(\lambda^2)$ , where  $\lambda$  is

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security parameter of FLIP.

**Keywords:** Fully homomorphic encryption; Symmetric encryption; Bath encryption; Chinese remainder theorem; Homomorphic evaluate

## 1 Introduction

With the development of communication technology, many users with limited storage and computing facilities emerge, as well as cloud services with extensive storage and computing capabilities. Cloud service and secure multi-party computation (MPC) have become the current hot research. In this case, the outsourcing of data and the delegation of data processing are becoming more and more interesting. In this process, however, for privacy reasons, users do not want the cloud service to know their specific data, allowing only the ciphertext to be processed. The traditional encryption scheme does not allow the homomorphic computation of ciphertext. For this purpose, Gentry proposed the first fully homomorphic encryption (FHE) scheme<sup>[1]</sup> based on ideal lattices. This scheme proceeds in several steps. First, one constructs a somewhat homomorphic encryption scheme, which only supports a finite number of multiplications. Second, squashing the decryption circuit so that it can be presented as a low degree polynomial. Finally, the key step of Gentry, called bootstrapping, consists in homomorphically evaluating the squashed decryption circuit to refresh the ciphertext, resulting in a new ciphertext associated with the same plaintext, but with reduced noise. Since the breakthrough result of Gentry, many works have been published, introducing new hard problem, improving homomorphic efficiency. Dijk *et al.*<sup>[2]</sup> proposed fully homomorphic encryption scheme over integers based on approximate GCD problem. Brakerski and Vaikuntanathan<sup>[3-4]</sup> proposed the scheme based on the learning with errors (LWE) and ring learning with errors (RLWE) problems. Gentry *et al.*<sup>[5]</sup> introduced the scheme based on LWE using a new technique from approximate eigenvector problem. Full homomor-

phic encryption plays an important role in privacy protection<sup>[6-7]</sup>. However, the huge computation and storage cost of homomorphic encryption are still the main limitation for the deployment of cloud services based on such FHE frameworks.

In these cloud applications, we often send some data encrypted under a FHE scheme to the cloud to process in further. Typically, a user (Alice) encrypts some plaintext  $m$  under some other's public key  $pk$  (Bob) and sends some homomorphic ciphertext  $c = HE_{pk}(m)$  to a third-party evaluator in the Cloud (Charlie). However, all homomorphic encryption schemes suffer from a very large ciphertext expansion, which greatly restricts the practical application. Naehrig *et al.* first considered the problem of reducing the size of  $c$  as efficiently as possible in Ref. [8]. We could encrypt  $m$  with a symmetric encryption scheme  $E$  under some secret key  $k$ , then send a much smaller compressed ciphertext  $c' = (HE_{pk}(k), E_k(m))$  to the cloud. By utilizing the homomorphic property of FHE, cloud can homomorphically evaluate the decryption circuit  $C_{E^{-1}}$  to recover the original  $c = HE_{pk}(m) = C_{E^{-1}}(HE_{pk}(k), E_k(m))$ , this can be assimilated to compressed encryption method and ciphertext decompression procedure. Obviously, for long messages, the expansion rate of ciphertext

$$\frac{|(HE_{pk}(k), E_k(m_1), E_k(m_2), \dots))|}{|(m_1, m_2, \dots)|}$$

is approaching 1. In this way, we greatly reduce the storage and transmission complexity for the long messages.

The framework of homomorphic encryption - symmetric encryption leaves an open question of how to choose symmetric encryption scheme  $E$  to minimize the decompression overhead, while preserving the same security level as originally intended.

Some work has been done to investigate the

cost of a homomorphic evaluation of AES and several optimized implementations<sup>[9-11]</sup>, including some lightweight block ciphers Simon<sup>[12]</sup> and Prince<sup>[13]</sup> etc. These lightweight block ciphers seem to be natural candidates for the framework, however, they may lead to worse result than AES. Specifically speaking, existing homomorphic encryption schemes use noisy encryption, where the noise of ciphertexts grows along with homomorphic operations. Normally, homomorphic multiplication increases the noise much faster than homomorphic addition. The noise of homomorphic evaluation depends mostly on the multiplicative depth of the circuit. However, many lightweight block ciphers have a large number of rounds for security, which is prohibitive in the FHE context. Albrecht *et al.*<sup>[14]</sup> observed that the standards of designing lightweight block ciphers does not applies to design a symmetric encryption with a low-cost homomorphic evaluation. Both the number of rounds and the number of binary multiplications required to evaluate an Sbox effect the complexity of homeomorphic evaluation of decryption circuit. Taking both criteria into account, Albrecht *et al.*<sup>[14]</sup> design a family of block ciphers called LowMC with very small multiplicative size and depth. Later, stream ciphers are also seemed to be candidates, Trivium and Kreyvium<sup>[15]</sup> are proposed for low multiplicative depth of decryption that is appropriate for homomorphic evaluation.

Unfortunately, these two types of schemes are still limited by complementary drawbacks. The noise produced by homomorphic evaluation of the decryption circuit of block ciphers is high and constant, while stream ciphers have a low initial noise that grows with each successive encryption. In consideration of combining the best of these two previous works, Méaux *et al.*<sup>[16]</sup> proposed a new stream cipher construction named filter permutator and a family of stream ciphers denoted as FLIP, based on the filter generator construction. It combines both advantages from the constant noise property offered by block ciphers, and the

lower noise levels of stream ciphers. Overall, filter permutators in general and FLIP instances in particular open a large design space of new symmetric constructions with low-cost homomorphic evaluation.

In practical application, every time we transmit a compressed ciphertext, we need to homomorphically evaluate the decryption circuit  $C_{E^{-1}}$  once to recover the original ciphertext  $HE_{pk}(m)$ . When we transmit a long message, this procedure brings a considerable amount of computation. Inspired by previous works, packing technique can combine many operations into one operation, which greatly improves the efficiency of calculation. For this reason, we consider extending the homomorphic encryption-symmetric encryption framework into a batch one, *i. e.*, we use the Chinese remainder theorem to pack  $l$  ciphertexts  $E_k(m_0), \dots, E_k(m_{l-1})$  into a single  $C = CRT(E_k(m_0), \dots, E_k(m_{l-1}))$ <sup>[10]</sup>, then we sent new compressed ciphertext  $(HE_{pk}(k), C)$  to the cloud, any operation we do with  $C$  can be reflected on  $E_k(m_i)$ . At last, given  $(HE_{pk}(k), C)$ , utilizing the property of FHE, we get  $C'$  by homomorphically evaluate the decryption circuit  $C_{E^{-1}}$  with  $(HE_{pk}(k), C)$ . Then we can simply recover each  $HE_{pk}(m_i)$  by  $(C' \bmod p_i) \bmod 2$ . That is to say, by introducing a new calculation of packing, we can reduce the homomorphic evaluation from  $l$  times to once. We also give out an instance of GSW13-FLIP framework for more specific analysis. When we need to transmit  $l$  ciphertexts, in original scheme, it requires  $l$  times of homomorphic evaluation, the computational complexity of each homomorphic evaluation of decryption circuit is roughly  $\tilde{O}(\lambda)$ , so the computational complexity of recovering all  $HE_{pk}(m_i)$  is roughly  $\tilde{O}(\lambda^3)$ . In batch scheme, we reduce the homomorphic evaluation of decryption circuit for only once. But meanwhile, we also introduce a new calculation  $C = CRT(E_k(m_0), \dots, E_k(m_{l-1}))$ , the computational complexity of batch scheme is roughly  $\tilde{O}(\lambda^2)$ . In this instance, we reduce the computational complexity from  $\tilde{O}(\lambda^3)$  to  $\tilde{O}(\lambda^2)$ . Actual-

ly, the more ciphers we pack, the more computational costs we save.

## 2 Background

In this section, we introduce the filterpermutator, a new stream cipher construction. We describe the general filter permutator structure and specify a family of filter permutators (denoted as FLIP). Next, we introduce the batch DGHV scheme what we utilize to extend the fully homomorphic encryption-symmetric encryption framework into a batch one.

### 2.1 Description of the FLIPfamily of stream ciphers

In order to design a symmetric construction compatible for FHE applications, we consider both block and stream ciphers, each with advantages and disadvantages, as discussed in Refs. [17-18].

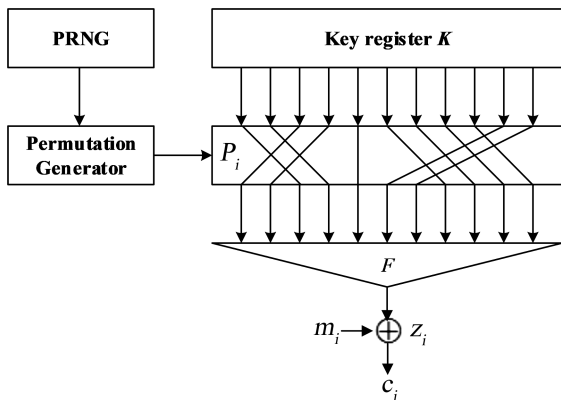


Fig. 1 The general structure of FLIP

Since the growth of noise is one of the most important factors restricting the application of FHE, our main purpose is to limit the growth of noise as much as possible. In other words, we need a symmetric construction whose circuit has a lower multiplicative depth. This ideal property is also considered to be a high homomorphic capacity, which is difficult to obtain with block ciphers because of the large algebraic degree of the output due to the round iterations. However, the good point is that noise is a constant for each block, which means that noise does not add any limitation to the number of generated ciphertext blocks. On the other hand, the homomorphic ca-

capacity of stream ciphers is usually very high for the first ciphertext bit, but as more bits are generated, this requires reinitializing the cipher or using techniques such as bootstrapping.

Taking the best from both types of schemes, Méaux *et al.* [16] designed an innovative stream cipher, which has a very good homomorphic capacity and remains the noise of homomorphic evaluation constant with time. The new stream cipher is based on the filter generator construction, the general structure of filter permutators is represented in Fig. 1.

FLIP is composed of three main components:

(1) A register storing the  $N$ -bit  $K$ .

(2) A (bit) permutation generator controlled by a Pseudo Random Number Generator (PRNG), which is initialized with a public IV, producing an  $N$ -bit permutation  $P_i$  at each clock  $i$ .

(3) A filtering function which generates a key stream  $z_i$ .

Comparing to the filter generator construction, FLIP drops the register update part to avoid the algebraic degree increase. Instead, the register bits are permuted rather than updated by means of LFSR. In details, when the PRNG is initialized with an IV, at each clock  $i$ , the permutation generator produces a permutation  $P_i$ , key bits are permuted by  $P_i$  before they enter the filter function  $F$ . XORing  $m_i$  and  $z_i$  generated by  $F$ , we produce the  $c_i$ .

The decryption process is similar to encryption. We generate the keystreams  $z_i$ , XOR them with  $c_i$  to recover the  $m_i$ . When homomorphically evaluating decryption circuit, the permutation part produces none noise, the main component that affects homomorphic efficiency is filter function.  $F$  is an  $N$ -variable Boolean function defined by the direct sum of three specific Boolean functions  $f_1, f_2$  and  $f_3$  [16].

**Definition 1 (Linear functions)** Let  $n > 0$  be a positive integer, the  $L_n$  linear function is an  $n$ -variable Boolean function defined as:

$$L_n(x_0, \dots, x_{n-1}) = \sum_{i=0}^{n-1} x_i$$

**Definition 2 (Quadratic functions)** Let  $n > 0$  be a positive integer, the  $Q_n$  quadratic function is a  $2n$ -variable Boolean function defined as:

$$Q_n(x_0, \dots, x_{2n-1}) = \sum_{i=0}^{n-1} x_{2i}x_{2i+1}$$

**Definition 3 (Triangular functions)** Let  $k > 0$  be a positive integer, the  $k$ -th triangular function  $T_k$  is a  $\frac{k(k+1)}{2}$  variable Boolean function defined as:

$$T_k(x_0, \dots, x_{\frac{k(k+1)}{2}-1}) = \sum_i^k \prod_{j=0}^{i-1} x_{j+\sum_{l=0}^{i-1} l}$$

For example, the 4th triangular function  $T_4$  is

$$T_4 = x_0 \oplus x_1x_2 \oplus x_3x_4x_5 \oplus x_6x_7x_8x_9$$

These three types of function have good property of several security criteria, non-linearity, resiliency and algebraic immunity etc. Three parts of filter function respectively have  $n_1, n_2, n_3$  variables.

$$f_1(x_0, \dots, x_{n_1-1}) = L_{n_1} = \sum_{i=0}^{n_1-1} x_i$$

$$f_2(x_{n_1}, \dots, x_{n_1+n_2-1}) = Q_{n_2/2} = \sum_{i=0}^{n_2/2-1} x_{2i}x_{2i+1}$$

$f_3(x_{n_1+n_2}, \dots, x_{n_1+n_2+n_3-1})$  is the direct sum of  $nb$  triangular function  $T_k$ , each  $T_k$  has different and independent variables, we donate  $f_3$  as  $nbT_k$ .

We define  $F$  as the direct sum of  $f_1, f_2$  and  $f_3$ , such that:

$$F(x_0, \dots, x_{n_1+n_2+n_3-1}) = f_1 \oplus f_2 \oplus f_3 = L_{n_1} + Q_{n_2/2} + \sum_{i=1}^{nb} T_k, n_3 = nb \cdot \frac{k(k+1)}{2}$$

Since the filterpermutators is similar with a filter generator, Méaux *et al.* provide an initial analysis of FLIP filter permutators against a couple of the most common attacks on filter generators. Some instances of attack complexities and the selection of parameters aiming at 80- and 128-bit are presented in Tab. 1<sup>[14]</sup>, where FLIP ( $n_1, n_2, nbT_k$ ) donates the FLIP with  $n_1, n_2, n_3$  variables for each part.

Tab. 1 Attack complexities and parameters of two concrete instances of FLIP

	Key size $N$	AA	$l$	FAA	$l$	HOC	$l$	Security $\lambda$
FLIP(46,136,4 $T_{15}$ )	662	91	52	81	52	90	48	80
FLIP(86,238,5 $T_{23}$ )	1704	149	105	137	105	128	74	128

AA, FAA, HOC stand for Algebraic Attacks, Fast Algebraic Attacks, Higher-Order Correlation attacks, respectively.  $l$  represents the number of bits to guess that leads to the optimal complexity of guess and determine attacks. Finally,  $\lambda$  stands for the security parameter of  $F$ , which is taken as the minimum between these attacks.

Low multiplicative depth of circuit is the largest advantage of FLIP. In most previous schemes, the noise produced by homomorphically evaluating decryption circuit is too high to perform more homomorphic operation.

## 2.2 Batchfully homomorphic encryption over the integers

In the original DGHV scheme<sup>[2]</sup>, a ciphertext has the form  $c = q \cdot p + 2r + m$ , where  $p$  is

the secret key,  $q$  is a large random integer, and  $r$  is a small random integer (noise), the plaintext bit  $m \in \{0, 1\}$  is recovered by computing  $m = \lfloor c \bmod p \rfloor \bmod 2$ .

We use the Chinese Remainder Theorem to pack  $l$  bits  $m_i$  into a single ciphertext with a set of  $l+1$  coprime integers  $q_0, p_0, \dots, p_{l-1}$ . The batch ciphertext has the form

$$c = CRT_{q_0, p_0, \dots, p_{l-1}}(q, 2r_0 + m_0, \dots, 2r_{l-1} + m_{l-1})$$

where  $q = c \bmod q_0, 2r_{i-1} + m_{i-1} = c \bmod p_{i-1}$  for  $1 \leq i \leq l$ . Therefore, the addition or multiplication of two ciphertext produces a new ciphertext, which is decrypted to the componentwise sum or product modulo 2 of the original plaintexts.

As for public-key encryption, the original DGHV scheme masks the message  $m$  with a ran-

dom subset sum of the public key elements  $x_j = q_j \cdot p + r_j$ , has the form

$$c = [m + 2r + 2 \sum_{j \in S} x_j]_{x_0}$$

with  $x_j = q_j \cdot p + r_j$ . Decryption outputs  $m \leftarrow [c \text{ mod } p]_2$ .

Next we describe the batch DGHV scheme (BDGHV) [10]. For a real number  $x$ , we respectively denote  $\lceil x \rceil$ ,  $\lfloor x \rfloor$  and  $\lceil x \rceil$  as the upper, lower, and nearest integer part of  $x$ . For integers  $z$  and  $p$ , we denote  $z \text{ mod } p$  by  $[z]_p$  with  $-p/2 < [z]_p \leq p/2$ . Let  $\lambda$  be the security parameter,  $\tau$  be the number of elements in the public key,  $\gamma$  the bit-length of integers  $x_i$  in the public key,  $\eta$  the bit-length of secret key  $p$  and  $\rho$  the bit-length of the noise  $r_i$ ,  $\rho'$  the bit-length of the noise in a refresh ciphertext.

BDGHV. KeyGen( $1^\lambda$ ). Generate a collection of  $l$  random  $\eta$ -bit prime  $p_j, 0 \leq j < l$ , and denote  $\pi$  their product. We define a noise-free public key element  $x_0 = q_0 \cdot \pi$ , that does not contain prime factor and is less than  $2^{\lambda^2}$ , where  $q_0 \leftarrow \mathbb{Z} \cap [0, 2^\gamma / \pi)$ .

Generate the integers  $x_i, x_i'$  and  $\Pi_i$  with a quotient by  $\pi$  uniformly and independently distributed in  $\mathbb{Z} \cap [0, q_0)$ , and with the following distribution modulo  $p_j$  for  $0 \leq j < l$ :

$$\begin{aligned} 1 \leq i \leq \tau, x_i \text{ mod } p_j &= 2r_{i,j} \\ 0 \leq i \leq l-1, x_i' \text{ mod } p_j &= 2r_{i,j}' + \delta_{i,j} \\ 0 \leq i \leq l-1, \Pi_i \text{ mod } p_j &= 2\omega_{i,j} + \delta_{i,j} \cdot 2^{\rho'+1}, \end{aligned}$$

with  $r_{i,j} \leftarrow \mathbb{Z} \cap (-2^{\rho-1}, 2^{\rho-1})$ , and  $r_{i,j}', \omega_{i,j} \leftarrow \mathbb{Z} \cap (-2^\rho, 2^\rho)$

Finally, let  $pk = \{x_0, (x_i)_{1 \leq i \leq \tau}, (x_i')_{0 \leq i \leq l-1}, (\Pi_i)_{0 \leq i \leq l-1}\}$  and  $sk = (p_j)_{0 \leq j \leq l-1}$ .

BDGHV. Encrypt( $pk, m \in \{0, 1\}^l$ ). Choose random integers vectors  $b = (b_i) \in (-2^\alpha, 2^\alpha)^\tau$  and  $b' = (b'_i)_{0 \leq i \leq l-1} \in (-2^{\alpha'}, 2^{\alpha'})^l$  and output the ciphertext:

$$c = [\sum_{i=0}^{l-1} m_i \cdot x_i' + \sum_{i=0}^{l-1} b'_i \cdot \Pi_i + \sum_{i=1}^{\tau} b_i \cdot x_i]_{x_0}$$

BDGHV. Decrypt( $sk, c$ ). Output  $m = (m_0, \dots, m_{l-1})$  where  $m_j \leftarrow [c]_{p_j} \text{ mod } 2$ .

BDGHV. Add( $pk, c_1, c_2$ ). Output  $c_1 + c_2 \text{ mod } x_0$ .

BDGHV. Mult( $pk, c_1, c_2$ ). Output  $c_1 \cdot c_2$

mod  $x_0$ .

The parameters in the scheme must satisfy the following constraints:

- (1)  $\rho = \Omega(\lambda)$  to avoid brute force attack on the noise<sup>[19-20]</sup>,
- (2)  $\eta \geq \rho \cdot \Theta(\lambda \log^2 \lambda)$  for homomorphically evaluating the “squashed decryption” circuit,
- (3)  $\eta \geq \alpha' + \rho' + 1 + \log(l)$  for correct decryption,
- (4)  $\gamma = \omega(\eta^2 \cdot \log \lambda)$  to avoid lattice-based attacks<sup>[2,21]</sup>,
- (5)  $\rho' \geq \rho + \lambda$  and  $\alpha' \geq \alpha + \lambda$  in order to prove the semantic security,
- (6)  $\alpha \cdot \tau \geq \lambda + \gamma$  and  $\tau \geq l \cdot (\rho' + 2) + \lambda$  in order to apply leftover hash lemma to prove the semantic security of the scheme.

To satisfy these constraints above, we can take  $\rho = 2\lambda, \eta = \tilde{O}(\lambda^2), \gamma = \tilde{O}(\lambda^5), \alpha = \tilde{O}(\lambda^2), \tau = \tilde{O}(\lambda^3)$  as in References [18], with  $\rho' = \tilde{O}(\lambda), \alpha' = \tilde{O}(\lambda^2)$  and  $l = \tilde{O}(\lambda^2)$ , where  $\lambda$  is the security parameter.

### 3 Batch FHE-symmetric encryption framework

In this section, we describe our contribution on batch FHE-symmetric encryption framework. Using Chinese remainder theorem, we can pack  $l$  ciphertexts  $E_k(m_0), \dots, E_k(m_{l-1})$  into a single  $C$ , then send  $C' = (HE_{pk}(k), C)$  to the cloud. Furthermore, we give out an instance of batch GSW13-FLIP framework, and analyze the effect of this improvement on computational efficiency.

#### 3.1 Description

In original compressed encryption, we encrypt  $m$  with a symmetric encryption scheme  $E$ , and secret key  $k$  with a fully homomorphic encryption. Then the compressed ciphertext  $c' = (HE_{pk}(k), E_k(m))$  is sent to the cloud instead of  $HE_{pk}(m)$ . Receiving  $c'$ , Cloud utilizes the homomorphic property of FHE to homomorphically evaluate the decryption circuit,

$$C_{E^{-1}}(HE_{pk}(k), c) = E^{-1}(HE_{pk}(k),$$

$$HE_{pk}(c)) = HE_{pk}(E^{-1}(k, c)) = HE_{pk}(m)$$

As for  $l$  messages  $m_i, 0 \leq i \leq l-1$ , we send

compressed ciphertext  $c' = (HE_{pk}(k), E_k(m_i))$ , then  $l$  times homomorphic evaluation are needed to recover the  $HE_{pk}(m_i)$ .

Considering that ciphertexts  $c_i = E_k(m_i)$  are single-bit, we can imitate batch FHE over integers, and use the Chinese remainder theorem to pack  $c_i$  into a  $C$ ,

$C = CRT_{q_0, p_0, \dots, p_{l-1}}(q, 2r_0 + c_0, \dots, 2r_{l-1} + c_{l-1})$  with a set of  $l + 1$  coprime integers  $q_0, p_0, \dots, p_{l-1}$ , and  $c \equiv q \pmod{q_0}$ ,  $C \equiv q \cdot p_{i-1} + 2r_{i-1} + c_{i-1}$  for  $1 \leq i \leq l^{[10]}$ . The new compressed ciphertext is  $(HE_{pk}(k), C)$ , we can recover  $c_i$  by  $(C \pmod{p_i}) \pmod{2}$ .

Next, the main problem is how to recover the  $HE_{pk}(m_i)$  when given the  $(HE_{pk}(k), C)$ . It is clear that  $C$  and  $c_i$  have homomorphic property, our operation on  $C$  can be reflected on  $c_i$ , i. e.  $Dec(Eval_{f_j}(C)) = f(c_i)$ . Treating decryption circuit as a function, ciphertext and secret key are inputs. Similarly,  $C_{E^{-1}}$  can be seen as a function,  $HE_{pk}(k)$  and  $C$  are inputs. Utilizing the homomorphic property, there are two steps to get  $HE_{pk}(m_i)$ :

- (1)  $C' = C_{E^{-1}}(HE_{pk}(k), C)$ ,
- (2)  $HE_{pk}(m_i) = (C' \pmod{p_i}) \pmod{2}$

First, we homomorphically evaluate decryption circuit with  $HE_{pk}(k)$  and  $C$  to get  $C'$ , it means that we take a functional operation on  $C$ , which can be reflected on  $c_i$ . Then, according to the relationship between  $C$  and  $c_i$ , we can simply recover  $HE_{pk}(m_i)$  by  $(C' \pmod{p_i}) \pmod{2}$ . Introducing an extra packing operation, we only need homomorphically evaluating decryption circuit for once, which is  $l$  times in previous view.

We can also achieve the operation between the slots to get  $HE_{pk}(m_i) + HE_{pk}(m_j)$  and  $HE_{pk}(m_i) \cdot HE_{pk}(m_j)$ . For two packed ciphertexts  $C_1$  and  $C_2$ , we pack  $(c_0, c_1, \dots, c_{l-1})$  into  $C_1$  and  $(c'_0, c'_1, \dots, c'_{l-1})$  into  $C_2$ , i. e.

$$C_1 = CRT_{q_0, p_0, \dots, p_{l-1}}(q, 2r_0 + c_0, \dots, 2r_{l-1} + c_{l-1})$$

$$C_2 = CRT_{q_0, p_0, \dots, p_{l-1}}(q, 2r_0 + c'_0, \dots, 2r_{l-1} + c'_{l-1})$$

Here, we respectively denote  $E_k(m_i)$  and  $E_k(m'_i)$  as  $c_i$  and  $c'_i$ ,  $1 \leq i \leq l$ . As introduced above, there are homomorphic properties between

$C_1$  and  $c_i$ , as well as  $C_2$  and  $c'_i$ .

$$C_1 = p_i \cdot q + 2r_i + c_i, C_2 = p_i \cdot q + 2r'_i + c'_i$$

It is clear that,

$$C_1 + C_2 \xrightarrow{(\pmod{p_i}) \pmod{2}} c_i + c'_i$$

$$C_1 \cdot C_2 \xrightarrow{(\pmod{p_i}) \pmod{2}} c_i \cdot c'_i$$

According to homomorphic properties, the operation we process on  $C_1 + C_2$  and  $C_1 \cdot C_2$  can be reflected on  $c_i + c'_i$  and  $c_i \cdot c'_i$ . That is to say, we can homomorphically evaluate the decryption circuit  $C_{E^{-1}}$  with  $C_1 + C_2$  and  $C_1 \cdot C_2$  to get  $C_{E^{-1}}(c_i + c'_i)$  and  $C_{E^{-1}}(c_i \cdot c'_i)$ .

$$C_{E^{-1}}(C_1 + C_2) \xrightarrow{(\pmod{p_i}) \pmod{2}} C_{E^{-1}}(c_i + c'_i)$$

$$C_{E^{-1}}(C_1 \cdot C_2) \xrightarrow{(\pmod{p_i}) \pmod{2}} C_{E^{-1}}(c_i \cdot c'_i)$$

In the process of recovering the  $HE_{pk}(m_i)$ , we use the homomorphic properties. When it comes to operate  $C_{E^{-1}}$  on  $c_i + c'_i$ .

$$C_{E^{-1}}(c_i + c'_i) = E^{-1}(HE_{pk}(k), HE_{pk}(c_i + c'_i)) = E^{-1}(HE_{pk}(k), HE_{pk}(c_i) + HE_{pk}(c'_i)) = E^{-1}(HE_{pk}(k), HE_{pk}(c_i)) + E^{-1}(HE_{pk}(k), HE_{pk}(c'_i)) = HE_{pk}(m_i) + HE_{pk}(m'_i)$$

It is the same for  $c_i \cdot c'_i$ ,  $C_{E^{-1}}(c_i \cdot c'_i) = HE_{pk}(m_i) \cdot HE_{pk}(m'_i)$ . By this way, we can parallel operate on  $l$  slots at the same time, which reduces a lot of extra calculation. We can get all of the  $HE_{pk}(m_i) + HE_{pk}(m'_i)$  and  $HE_{pk}(m_i) \cdot HE_{pk}(m'_i)$  through only one homomorphic evaluation.

Next, we give out an instance of batch GSW13-FLIP framework, in this context, the efficiency of batch scheme and original scheme is analyzed in detail.

### 3.2 Efficiency analysis

3.2.1 Original GSW13-FLIP scheme. In the absence of packing operation, when we need to transmit  $l$  compressed ciphertexts, we usually take  $l$  times homomorphic evaluation of decryption circuit to recover  $HE_{pk}(m_i)$ , which causes a great deal of calculation.

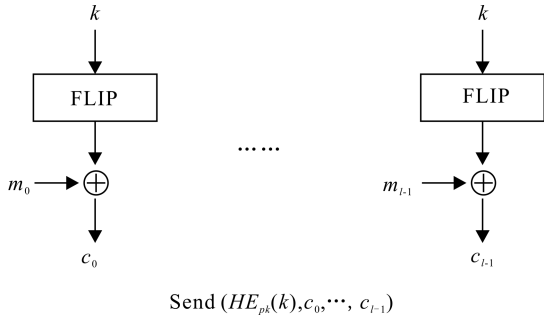


Fig. 2  $l$  Compressed ciphertexts

Given compressed ciphertext  $(HE_{pk}(k), c_0, \dots, c_{l-1})$ , we then homomorphically evaluate the decryption circuit  $C_{E^{-1}}$  to recover the  $HE_{pk}(m_i) = C_{E^{-1}}(HE_{pk}(k), c_i)$ . In this construction, the cost of decompression per plaintext is fixed and roughly equals one single evaluation of the decryption circuit; more specifically, the multiplicative depth of the decompression circuit is also fixed and equals to the decryption circuit.

As showing in Tab. 1, FLIP  $(46, 136, 4T_{15})$  and FLIP  $(86, 238, 5T_{23})$  separately satisfy 80- and 128-bit security. That is to say, when security parameter  $\lambda = 80$ , we choose parameters as FLIP  $(46, 136, 4T_{15})$ . It denotes the instance of FLIP with 662 inputs, linear part of  $n_1 = 46$  bits, quadratic part of  $n_2 = 136$  bits and 4 triangular functions of degree  $k = 15$ ; when security parameter  $\lambda = 128$ , FLIP  $(86, 238, 5T_{23})$  denotes the instance with 1704 inputs, the linear and quadratic

parts respectively of  $n_1 = 86$  and  $n_2 = 238$  bits, and 5 triangular functions of degree  $k = 21$ .

We recall that three parts of filter function respectively have  $n_1, n_2, n_3$  variables.

$$f_1(x_0, \dots, x_{n_1-1}) = L_{n_1} = \sum_{i=0}^{n_1-1} x_i$$

$$f_2(x_{n_1}, \dots, x_{n_1+n_2-1}) =$$

$$Q_{n_2/2} = \sum_{i=0}^{n_2/2-1} x_{2i}x_{2i+1}$$

$f_3(x_{n_1+n_2}, \dots, x_{n_1+n_2+n_3-1})$  is the direct sum of  $nb$  triangular function  $T_k$ ,

$$T_k(x_0, \dots, x_{\frac{k(k+1)}{2}-1}) = \sum_i^k \prod_{j=0}^{i-1} x_{j+\sum_{l=0}^{i-1} l}$$

As for FLIP  $(46, 136, 4T_{15})$ , security parameter  $\lambda$  is 80, multiplication comes mainly from quadratic and triangular parts of filter functions, that is 432. Moreover, the number of addition is 172. Similarly, security parameter  $\lambda$  of FLIP  $(86, 238, 5T_{23})$  is 128, the number of multiplication is 1274 and the number of addition is 313. Obviously, gate computational complexity of homomorphically evaluating decryption is  $\tilde{O}(\lambda)$ . To satisfy the constraints of batch scheme, we take  $l = \tilde{O}(\lambda^2)$ . So that, the computational complexity of decompression is roughly  $\tilde{O}(\lambda^3)$ .

3.2.2 Batch GSW13-FLIP scheme. Utilizing the Chinese remainder theorem to pack  $c_i$  into a  $C$ , we only need to homomorphically evaluate decryption circuit for once, but introduce the new computation of BDGHV.

Tab. 2 Capability of somewhat and fully BDGHV scheme

Scheme	Security	Hard problem	Gate computational complexity
Somewhat BDGHV	IND-CPA	Error-free approximate-GCD, SSSP	$\tilde{O}(\lambda^{1.5})$
Fully BDGHV	IND-CPA	Error-free approximate-GCD, SSSP	$\tilde{O}(\lambda^{1.5})$

As showing in Tab. 2<sup>[22]</sup>, both schemes have gate computational complexity of  $\tilde{O}(\lambda^{1.5})$ .

Comparing the original scheme with the batch scheme, although the computational complexity of a homomorphic evaluation is  $\tilde{O}(\lambda)$ , less than  $\tilde{O}(\lambda^{1.5})$  that of BDGHV scheme, we have to homomorphically evaluate decryption circuit for  $l-1$  more times to recover all  $HE_{pk}(m_i)$ . So that the computational complexity of original scheme

is roughly  $\tilde{O}(\lambda^3)$ . By packing  $c_i$  into a  $C$ , we only need once homomorphic evaluation to get  $C'$ , the extra introduced operation has computational complexity of  $\tilde{O}(\lambda^{1.5})$ . Given  $C'$ , We can simply get  $HE_{pk}(m_i)$  by  $(C' \bmod p_i) \bmod 2$ . Twice multiplication is required for once modular operation, therefore the computational complexity of batch scheme is roughly  $\tilde{O}(\lambda^2)$ . Through packing operation, we reduce the computational complexi-



ty from  $\tilde{O}(\lambda^3)$  to  $\tilde{O}(\lambda^2)$ .

When we need  $HE_{pk}(m_i) + HE_{pk}(m'_i)$  and  $HE_{pk}(m_i) \cdot HE_{pk}(m'_i)$ , utilizing the parallel operation on slots, we can just operate once homomorphic evaluation of decryption circuit on  $C_1 + C_2$  and  $C_1 \cdot C_2$  instead of  $2l$  times in original scheme.

## 4 Conclusions

In the context of fully homomorphic encryption-symmetric encryption framework, we usually send compressed ciphertext  $c' = (HE_{pk}(k), E_k(m_i))$  to the cloud to get over the expansion of homomorphic ciphertexts, then cloud homomorphically evaluate decryption circuit  $l$  times to recover all  $HE_{pk}(m_i)$ , where  $0 \leq i \leq l-1$ . In this process, a great deal of operations are consumed on homomorphic evaluation.

In this paper, utilizing the Chinese remainder theorem to pack  $c_i$  into a  $C$ , we extend the fully homomorphic encryption-symmetric encryption framework into a batch one, we only need to homomorphically operate  $C_{E^{-1}}$  on  $C$ , which can be reflected on  $c_i$  by the homomorphic property between  $c_i$  and  $C$ . So that, while introducing an extra operation of BDGHV, we can reduce the homomorphic evaluation of decryption to only once. Meanwhile, we can also parallel operate components in packed ciphertexts to get  $HE_{pk}(m_i) + HE_{pk}(m'_i)$  and  $HE_{pk}(m_i) \cdot HE_{pk}(m'_i)$  through  $C_{E^{-1}}(C_1 + C_2)$  and  $C_{E^{-1}}(C_1 \cdot C_2)$ .

More specifically, we give out an instance of batch GSW13-FLIP framework, analyze the computational complexity of original scheme and the batch one. Although the computational complexity of once homomorphic evaluation of decryption is less than the complexity of BDGHV, we have to operate  $l$  times to recover all  $HE_{pk}(m_i)$ ,  $0 \leq i \leq l-1$ . Compared to the original scheme, our contribution of batch scheme can reduce the computational complexity from  $\tilde{O}(\lambda^3)$  to  $\tilde{O}(\lambda^2)$ . And the more message we transmit, the more benefit we get from the batch scheme.

Beside this, we can operate our batch tech-

nique on other fully homomorphic encryption-symmetric encryption frameworks for different FHE schemes or symmetric encryption schemes. As for parallel operation, we have implemented the calculation of the corresponding components in slots of different packing ciphertexts. Utilizing the pattern in Ref. [23], we can also realize the calculation of different components in a packing ciphertext.

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