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# 单位球上 Bloch-Orlicz 空间上的复合算子

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**摘要:** 本文通过 Young 函数定义了 Bloch-Orlicz 空间, 得出该空间等距同构于一类特殊的  $\mu$ -Bloch 空间. 利用复分析和构造检验函数的方法, 本文研究了单位球上 Bloch-Orlicz 空间上复合算子  $C_\varphi$  的有界性、紧性是和下有界性, 得到了复合算子  $C_\varphi$  是 Bloch-Orlicz 空间上的有界算子、紧性算子和下有界算子的充要条件.

**关键词:** 复合算子; 单位球; Bloch-Orlicz 空间

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## Composition operators on Bloch-Orlicz type spaces of the unit ball

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**Abstract:** Using Young's functions, we define the Bloch-Orlicz space and show that the Bloch-Orlicz space is isometrically equal to a special certain  $\mu$ -Bloch space. By the analysis methods and constructing test functions, we investigate the boundedness, compactness and boundedness from below of the composition operator  $C_\varphi$  on Bloch-Orlicz type spaces of the unit ball. And we also obtain the sufficient and necessary conditions of boundedness, compactness and boundedness from below of the composition operator  $C_\varphi$ .

**Keywords:** Composition operator; Unit ball; Bloch-Orlicz space

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## 1 Introduction

Let  $B^n$  be the unit ball in the complex vector space  $C^n$  and  $H(B^n)$  the space of all analytic functions on  $B^n$ . The Bloch space<sup>[1-20]</sup>  $B$  consists of all functions  $f \in H(B^n)$  for which

$$\|f\|_B := \sup_{z \in B^n} (1 - |z|^2) |Rf(z)| < \infty,$$

where  $Rf$  is the radial derivative of  $f$  given by

$$Rf(z) = \sum_{j=1}^n z_j \frac{\partial f}{\partial z_j}(z).$$

$B$  becomes a Banach space when it is equipped

with the norm<sup>[20]</sup>

$$\|f\| := |f(0)| + \|f\|_B.$$

For  $\alpha > 0$ , the  $\alpha$ -Bloch space, denoted as  $B^\alpha$ , consists of all analytic functions  $f$  on  $B^n$  such that

$$\|f\|_\alpha := \sup_{z \in B^n} (1 - |z|^2)^\alpha |Rf(z)| < \infty.$$

$\alpha$ -Bloch spaces have been introduced and studied by numerous authors. For general theory of  $\alpha$ -Bloch functions<sup>[19,20]</sup>, many authors have studied different classes of Bloch-type spaces, where the typical weight function  $w(z) = 1 - |z|$  is replaced by a bounded continuous positive function  $\mu$  defined on  $B^n$ . More

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precisely, a function  $f \in H(B^n)$  is called a  $\mu$ -Bloch function, denoted as  $f \in B^\mu$ , if

$$\|f\|_\mu := \sup_{z \in B^n} \mu(z) |Rf(z)| < \infty.$$

Clearly, if  $\mu(z) = \omega(z)^\alpha$  with  $\alpha > 0, B^n$  is just the  $\alpha$ -Bloch space. It is readily seen that  $B^\mu$  is a Banach space with the norm

$$\|f\|_{B^\mu} := |f(0)| + \|f\|_\mu.$$

Recently, the Bloch-Orlicz type space on the unit disk was introduced by Fernandez in Ref. [9] using Young's functions. Let

$$\psi: [0, +\infty) \rightarrow [0, +\infty)$$

be a strictly increasing convex function such that  $\psi(0) = 0$  and  $\lim_{t \rightarrow +\infty} \psi(t) = +\infty$ . The Bloch-Orlicz space associated with the function  $\psi$ , denoted by  $B^\psi$ , is the class of all functions  $f \in H(B^n)$  such that

$$\sup_{z \in B^n} (1 - |z|^2) \psi(\lambda |Rf(z)|) < \infty$$

for some  $\lambda > 0$  depending on  $f$ . The Minkowski's functional

$$\|f\|_\psi = \inf \{k > 0 : S_\psi(\frac{Rf}{k}) \leq 1\}$$

defines a seminorm for  $B^\psi$ , which, in this case, is known as Luxemburg's seminorm, where

$$S_\psi(f) := \sup_{z \in B^n} (1 - |z|^2) \psi(|f(z)|).$$

Moreover,  $B^\psi$  is a Banach space with the norm

$$\|f\|_{B^\psi} := |f(0)| + \|f\|_\psi.$$

Let  $\phi$  be an analytic self-map of  $B^n$ , the composition operator  $C_\phi$  is the operator defined on some subspaces of  $H(B^n)$  by

$$C_\phi(f)(z) := (f \circ \phi)(z) = f(\phi(z)).$$

The study of composition operators thanks to Littlewood's subordination principle<sup>[4]</sup>, and the function  $\psi$  is called the symbol of  $C_\phi$ . On composition operators between various spaces of analytic functions on different domain have been studied by numerous authors<sup>[1-3, 5-11, 13-16]</sup> and the other references. This paper is devoted to characterizing the boundedness, compactness and boundedness from below of composition operators on Bloch-Orlicz type space of the unit ball.

## 2 Auxiliary results

The following Propositions 2.1 and 2.2 are

similar to Ref. [9].

**Proposition 2.1** For every  $f \in B^\psi \setminus \{0\}$ , we have

$$S_\psi(\frac{Rf}{\|f\|_\psi}) \leq 1.$$

Moreover, for any  $f \in B^\psi$  and  $z \in B^n$ , we have

$$|Rf(z)| \leq \psi^{-1}(\frac{1}{1 - |z|^2}) \|f\|_\psi.$$

**Proof** For  $f \in B^\psi \setminus \{0\}$ , by the definition of  $B^\psi$ , there is a decreasing sequence  $\{\lambda_k\} \subset \mathbf{R}^+$  with  $S_\psi(\frac{Rf}{\lambda_k}) \leq 1$  for all  $k \in \mathbf{N}$ , such that  $\lambda_k \rightarrow \|f\|_\psi$  as  $k \rightarrow \infty$ . Since the function  $\psi$  is increasing, thus we have

$$S_k := S_\psi(\frac{Rf}{\lambda_k}) \leq S_\psi(\frac{Rf}{\|f\|_\psi}) =: S.$$

Note that the sequence  $\{S_k\}$  is increasing and bounded, then there is  $S' \in \mathbf{R}$  such that

$$\lim_{k \rightarrow \infty} S_k = S'.$$

In fact, we have

$$S' = \sup_{k \in \mathbf{N}} \{S_k\} \leq 1$$

and  $S' \leq S$ . Furthermore, for all  $z \in B^n$  and  $k \in \mathbf{N}$

$$(1 - |z|^2) \psi(\frac{|Rf(z)|}{\lambda_k}) \leq S'.$$

Taking limit as  $k \rightarrow \infty$ , therefore, we have

$$(1 - |z|^2) \psi(\frac{|Rf(z)|}{\|f\|_\psi}) \leq S'$$

for all  $z \in B^n$ , which means that  $S = S' = \lim_{k \rightarrow \infty} S_k \leq 1$ .

Moreover, by the proof above, for any  $f \in B^\psi$  and  $z \in B^n$ , we have

$$(1 - |z|^2) \psi(\frac{|Rf(z)|}{\|f\|_\psi}) \leq 1.$$

Then

$$\psi(\frac{|Rf(z)|}{\|f\|_\psi}) \leq \frac{1}{1 - |z|^2}.$$

Since the function  $\psi$  is strictly increasing convex, thus we have

$$|Rf(z)| \leq \psi^{-1}(\frac{1}{1 - |z|^2}) \|f\|_\psi.$$

**Proposition 2.2** The Bloch-Orlicz space is isometrically equal to  $\mu$ -Bloch space, where

$$\mu(z) = \frac{1}{\psi^{-1}(\frac{1}{1 - |z|^2})}$$

with  $z \in B^n$ .

**Proof** By Proposition 2.1, for any  $f \in B^\psi$

and  $z \in B^n$  we have  $\mu(z) |Rf(z)| \leq \|f\|_\psi$ , which implies that  $B^\psi \subset B^\mu$  and

$$\|f\|_\mu \leq \|f\|_\psi.$$

Conversely, if  $f \in B^\mu$ , then we have

$$\mu(z) |Rf(z)| \leq \|f\|_\mu$$

for all  $z \in B^n$  which implies that

$$S_\psi\left(\frac{Rf}{\|f\|_\mu}\right) \leq 1.$$

Thus,  $f \in B^\psi$  and

$$\|f\|_\psi \leq \|f\|_\mu.$$

The following result will be very useful in the next section.

**Lemma 2.3** Let  $a \in B^n$  fixed. There exists a analytic function  $f_a \in H(B^n)$  such that

$$\psi(|f_a(z)|) = \frac{1 - |a|^2}{|1 - \langle z, a \rangle|^2}$$

for  $z \in B^n$ .

**Proof** For  $z \in B^n$ , we set

$$u(z) = \psi^{-1}\left(\frac{1 - |a|^2}{|1 - \langle z, a \rangle|^2}\right).$$

Then  $u$  is a real and continuously differentiable function, in the sense that its partial derivatives exist and are continuous throughout  $B^n$ . Furthermore, for all  $z \in B^n$  the function  $u$  satisfies

$$u(z) \geq \psi^{-1}\left(\frac{1}{4}(1 - |a|^2)\right) > 0.$$

Now we let  $f_a(z) = u(z)e^{iv(z)}$ , where  $v$  is a real function defined on  $B^n$ . Then, in order to get  $f_a$  be an analytic function on  $B^n$ , real part  $U(z) = u(z)\cos(v(z))$  and imaginary part  $V(z) = u(z)\sin(v(z))$  of  $f_a$  must satisfy the Cauchy-Riemann equations. We get the relations

$$uv_x = -u_y \text{ and } uv_y = u_x.$$

And we can choose a real function  $v \in C^1(B^n)$  such that  $f_a$  is an analytic function on  $B^n$  satisfying

$$\psi(|f_a(z)|) = \frac{1 - |a|^2}{|1 - \langle z, a \rangle|^2}.$$

**Lemma 2.4** For any  $a \in B^n$ , the following function is in  $B^\psi$

$$g_a(z) = \int_0^1 f_a(tz) \frac{dt}{t}$$

with  $z \in B^n$  and  $f_a$  is the function in Lemma 2.3

Moreover,  $\|g_a\|_\psi = 1$  for all  $a \in B^n$ .

**Proof** The result is obvious since the fol-

lowing equality

$$S_\psi(Rg_a) := \sup_{z \in B^n} (1 - |z|^2) \frac{1 - |a|^2}{|1 - \langle z, a \rangle|^2} =$$

$$\sup_{z \in B^n} (1 - |\sigma_a(z)|^2) = 1,$$

where  $\sigma_a(z) = \frac{a - P_a(z) - S_a Q_a(z)}{1 - \langle z, a \rangle}$  is the automorphism of the unit ball<sup>[20]</sup>.

The following Lemma characterizes the compactness in terms of sequential convergence by the standard arguments<sup>[3]</sup>.

**Lemma 2.5** The composition operator  $C_\psi$  is compact on  $B^\psi$  if and only if given a bounded sequence  $\{f_k\} \subset B^\psi$  such that  $f_k \rightarrow 0$  uniformly on any compact subset of  $B^n$ , then  $\|C_\psi(f_k)\|_\psi \rightarrow 0$  as  $k \rightarrow \infty$ .

### 3 Boundedness, compactness and boundedness from below of composition operators

In this section, we study boundedness, compactness and boundedness from below of composition operators on Bloch-Orlicz spaces.

**Theorem 3.1** The composition operator  $C_\varphi$  is bounded on  $B^\psi$  if and only if

$$\sup_{z \in B^n} \frac{\mu(z)}{\mu(\varphi(z))} |R\varphi(z)| < \infty.$$

**Proof** Suppose that

$$L = \sup_{z \in B^n} \frac{\mu(z)}{\mu(\varphi(z))} |R\varphi(z)| \tag{1}$$

Then for any  $f \in B^\psi \setminus \{0\}$ , we have the following estimate by the Propositions 2.1 and 2.2:

$$S_\psi\left(\frac{R(C_\varphi f)}{L \|f\|_\psi}\right) = \sup_{z \in B^n} (1 - |z|^2) \psi\left(\frac{|Rf(\varphi(z))| \|R\varphi(z)\|}{L \|f\|_\psi}\right) \leq$$

$$\sup_{z \in B^n} (1 - |z|^2) \psi\left(\frac{|Rf(\varphi(z))| \mu(\varphi(z))}{\mu(z) \|f\|_\psi}\right) \leq$$

$$\sup_{z \in B^n} (1 - |z|^2) \psi\left(\frac{\|f\|_\psi \mu(\varphi(z))}{\mu(\varphi(z)) \mu(z) \|f\|_\psi}\right) \leq$$

$$\sup_{z \in B^n} (1 - |z|^2) \psi\left(\frac{1}{\mu(z)}\right) = 1.$$

From here, we can conclude that

$$\|C_\varphi f\| \leq L \|f\|_\psi$$

and thus the composition operator  $C_\varphi$  is bounded on  $B^\psi$ .

Conversely, suppose  $C_\varphi$  is bounded on  $B^\psi$ ,

then there exists a constant  $L$  such that  $\|C_\varphi f\| \leq L \|f\|_\psi$ . Thus, by Lemmas 2.3 and 2.4, for any  $a \in B^n$ , there is a function  $g_a \in B^\psi$  such that  $\|g_a\|_\psi = 1$  and

$$\psi(|Rg_a(z)|) = \frac{1 - |a|^2}{|1 - \langle z, a \rangle|^2}.$$

Hence, for any  $a \in B^n$ , we have

$$(1 - |z|^2)\psi\left(\frac{|R\varphi(z) \|Rg_a(\varphi(z))|}{L}\right) \leq 1.$$

That is

$$(1 - |z|^2)\psi\left(\frac{|R\varphi(z)|}{L}\psi^{-1}\left(\frac{1 - |a|^2}{|1 - \langle \varphi(z), a \rangle|^2}\right)\right) \leq 1.$$

Particularly, for  $a = \varphi(z)$ , we have

$$(1 - |z|^2)\psi\left(\frac{|R\varphi(z)|}{L}\psi^{-1}\left(\frac{1}{|1 - |\varphi(z)|^2}\right)\right) \leq 1.$$

Therefore

$$\frac{\mu(z)}{\mu(\varphi(z))} |R\varphi(z)| \leq L.$$

From which the (1) holds.

**Theorem 3.2** The composition operator  $C_\psi$  is compact on  $B^\psi$  if and only if  $\psi \in B^\psi$  and

$$\lim_{|\varphi(z)| \rightarrow 1^-} \frac{\mu(z)}{\mu(\varphi(z))} |R\varphi(z)| = 0 \tag{2}$$

**Proof** Suppose that  $\psi \in B^\psi$  and (2) holds. Let  $\{f_k\} \subset B^\psi$  be a bounded sequence such that  $f_k \rightarrow 0$  uniformly on any compact subset of  $B^n$ . Then, by Lemma 2.5, it is suffices to show that  $\|C_\varphi(f_k)\|_\psi \rightarrow 0$  as  $k \rightarrow \infty$ . To this end, we set  $K = \sup_k \|f_k\|_\psi$ . Then, for  $\epsilon > 0$  we can find an  $r \in (0, 1)$  such that

$$\frac{\mu(z)}{\mu(\varphi(z))} |R\varphi(z)| < \frac{\epsilon}{K}$$

for any  $z \in B^n$  satisfying  $r < |\varphi(z)| < 1$ . Then

$$\begin{aligned} \mu(z) |R(f_k \circ \varphi)(z)| &= \\ \frac{\mu(z)}{\mu(\varphi(z))} |R\varphi(z) | \mu(\varphi(z)) |Rf_k(\varphi(z))| &\leq \\ \frac{\epsilon}{K} K = \epsilon \end{aligned}$$

whenever  $r < |\varphi(z)| < 1$ .

On the other hand, since  $\varphi \in B^\psi$ ,  $\{f_k\} \subset B^\psi$  converges to 0 uniformly on any compact subset of  $B^n$ ,  $f_k(\varphi(0)) \rightarrow 0$  and  $\sup_{|w| \leq r} \mu(w) |Rf_k(w)| \rightarrow 0$  as  $k \rightarrow \infty$ , we can find a constant  $C > 0$  depending only on  $r$  and  $\psi$ , such that

$$\sup_{|\varphi(z)| \leq r} \frac{\mu(z)}{\mu(\varphi(z))} |R\varphi(z)| \leq C \|\varphi\|_\psi.$$

Therefore, for the given  $\epsilon > 0$ , there is an  $N \in \mathbb{N}$  such that whenever  $k \geq N$ , we have

$$\begin{aligned} \sup_{|\varphi(z)| \leq r} \mu(z) |R(f_k \circ \varphi)(z)| &\leq \\ \sup_{|\varphi(z)| \leq r} \frac{\mu(z)}{\mu(\varphi(z))} |R\varphi(z) | \mu(\varphi(z)) |Rf_k(\varphi(z))| &\leq \\ C\epsilon \|\varphi\|_\psi. \end{aligned}$$

Thus, we conclude that whenever  $k \geq N$

$$\begin{aligned} \|f_k(\varphi(z))\|_\psi &= |f_k(\varphi(0))| + \\ \sup_{z \in B^n} \mu(z) |R(C_\varphi \circ f_k)(z)| &< \\ (1 + C \|\varphi\|_\psi)\epsilon, \end{aligned}$$

which means that  $C_\varphi$  is a compact operator on  $B^\psi$ .

Conversely, suppose that  $C_\psi$  is a compact operator on  $B^\psi$  but (2) doesn't hold. Then there exists an  $\epsilon_0 > 0$ , such that for any  $r \in (0, 1)$

$$\sup_{|\varphi(z)| \geq r} \frac{\mu(z)}{\mu(\varphi(z))} |R\varphi(z)| \geq \epsilon_0.$$

Then, given a sequence of real number  $\{r_k\} \subset (0, 1)$  such that  $r_k \rightarrow 1$  as  $k \rightarrow \infty$ , we can find a sequence  $\{z_k\} \subset B^n$  such that  $|\varphi(z_k)| > r_k$  and

$$\frac{\mu(z_k)}{\mu(w_k)} |R\varphi(z_k)| \geq \frac{\epsilon_0}{2},$$

where  $w_k = \varphi(z_k)$ . By taking a subsequence, if necessary, we may suppose that  $w_k \rightarrow w_0 \in \partial B^n$ .

Now, for  $k \in \mathbb{N}$  and  $z \in B^n$ , we set

$$g_k(z) = \int_0^1 f_{w_k}(tz) \frac{dt}{t},$$

where  $f_{w_k}$  is the function found in Lemma 2.3 with  $a = w_k$ . We can see that  $\{g_k\}$  is a bounded sequence in  $B^\psi$ . Furthermore, we can see that  $\{g_k\}$  is a sequence converging to 0 uniformly on compact subsets of  $B^n$ , and satisfying

$$\begin{aligned} \|C_\psi(g_k)\|_\mu &\geq \\ \mu(z_k) |Rg_k(w_k) \|R\varphi(z_k)| &= \\ \frac{\mu(z_k)}{\mu(w_k)} |R\varphi(z_k)| &\geq \frac{1}{2}\epsilon_0 > 0, \end{aligned}$$

where we have used the fact that  $|Rg_k(w_k)| = \frac{1}{\mu(w_k)}$ . Therefore,  $C_\psi$  is not a compact operator on  $B^\psi$ , which is a contradiction.

Now we present a sufficient and necessary condition for a composition operator on  $B^\psi$  to be bounded from below (and therefore with closed range). The purpose here is to generalize the results from Refs. [1, 9, 19] for the Bloch-Orlicz space. To this end, for  $\epsilon > 0$ , let us denote

$$\Omega_\epsilon = \{z \in B^n : \frac{\mu(z)}{\mu(\varphi(z))} |R\varphi(z)| \geq \epsilon\}.$$

**Definition 3.3** A subset  $G$  of the unit ball  $B^n$  is said to be a sampling set for  $B^\psi$  if there exists a positive constant  $L > 0$  such that

$$\sup_{z \in G} \mu(z) |Rf(z)| \geq L \|f\|_\mu$$

for any  $f \in B^\psi$ .

In the following theorem we characterize-boundedness from below of composition operators on  $B^\psi$  of the ball in terms of sampling sets. The proof of the theorems follows the lines of the proofs of the corresponding results in Ref. [1].

**Theorem 3.4** Let  $C_\varphi$  be a bounded composition operator on  $B^\psi$ .  $C_\varphi$  is bounded from below on  $B^\psi$  if and only if there exists  $\epsilon > 0$  such that  $G_\epsilon = \varphi(\Omega_\epsilon)$  is a sampling set for  $B^\psi$ .

**Proof** Suppose that there exists  $\epsilon > 0$  such that  $G_\epsilon = \varphi(\Omega_\epsilon)$  is a sampling set for  $B^\psi$ . In this case, we can find a constant  $L > 0$  such that

$$\|f\|_\mu \leq L \sup_{z \in \Omega_\epsilon} \mu(\varphi(z)) |Rf(\varphi(z))|$$

for all functions  $f \in B^\psi$ . Thus, we have that

$$\|f\|_\mu \leq L \sup_{z \in \Omega_\epsilon} \mu(\varphi(z)) |Rf(\varphi(z))| =$$

$$L \sup_{z \in \Omega_\epsilon} \frac{\mu(\varphi(z))}{\mu(z)} |Rf(\varphi(z))| \leq$$

$$\frac{L}{\epsilon} \|f \circ \varphi\|_\mu.$$

This implies that the operator  $C_\varphi$  is bounded from below on  $B^\psi$ .

Conversely, suppose that  $C_\varphi$  is bounded from below on  $B^\psi$ . Then there exists a constant  $K > 0$ , such that for all  $f \in B^\psi$  with  $\|f\|_\mu = 1$ ,

$$\|C_\varphi(f)\|_\mu = \sup_{z \in B^n} \mu(z) |Rf(\varphi(z))| \|R\varphi(z)\| \geq K.$$

Hence, we can find  $z_f \in B^n$  such that

$$\mu(z_f) |Rf(\varphi(z_f))| \|R\varphi(z_f)\| \geq \frac{K}{2},$$

which, in turn, implies that

$$\frac{\mu(z_f)}{\mu(\varphi(z_f))} |R\varphi(z_f)| \|Rf(\varphi(z_f))\| \mu(\varphi(z_f)) \geq \frac{K}{2} \tag{3}$$

Since  $|Rf(\varphi(z_f))\| \mu(\varphi(z_f)) \leq 1$ , it must be

$$\frac{\mu(z_f)}{\mu(\varphi(z_f))} |R\varphi(z_f)| \geq \frac{K}{2}.$$

Therefore, putting  $\epsilon = \frac{K}{2}$ , we have  $z_f \in \Omega_\epsilon$ .

Now, since  $C_\varphi$  is bounded, by Theorem 3.1, there is a constant  $M_\mu > 0$ , depending only on  $\mu$  and  $\psi$ , such that

$$\frac{\mu(z_f)}{\mu(\varphi(z_f))} |R\varphi(z_f)| \leq M_\mu.$$

From (3) we conclude that

$$|Rf(\varphi(z_f))\| \mu(\varphi(z_f)) \geq \frac{K}{2M_\mu}.$$

Finally, since  $\varphi(z_f) \in G_\epsilon$ , it must be

$$\sup_{z \in G_\epsilon} \mu(z) |Rf(z)| \geq \frac{K}{2M_\mu}.$$

Therefore  $G_\epsilon$  is a sampling set for  $B^\psi$ .

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