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二阶非线性积分边值问题正解的存在唯一性

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摘要: 本文运用双度量空间中的广义 Krasnoselskii's 压缩不动点定理研究了二阶非线性积分边值问题 $u'' + a(t)f(t, u(t), u'(t)) = 0, t \in (0, 1), u(0) = 0, u(1) = \alpha \int_0^\eta u(s) ds$ 正解的存在唯一性, 其中 $0 < \eta < 1, 0 < \alpha < \frac{2}{\eta^2}, a \in C([0, 1], [0, \infty)), f: [0, 1] \times [0, \infty) \times \mathbf{R} \rightarrow [0, \infty)$ 连续, 且当 $t_0 \in [\eta, 1]$ 时 $a(t_0) > 0$.

关键词: 正解; 存在唯一性; 积分边值问题; 广义压缩不动点定理

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Existence and uniqueness of positive solutions for second-order nonlinear integral boundary value problem

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Abstract: In this paper, by using the method of generalized Krasnoselskii's contractive fixed point theorem in bimetric spaces, we study the existence and uniqueness of the positive solutions for the following-second-order nonlinear integral boundary value problem $u'' + a(t)f(t, u(t), u'(t)) = 0, t \in (0, 1), u(0) = 0, u(1) = \alpha \int_0^\eta u(s) ds$ where $0 < \eta < 1, 0 < \alpha < \frac{2}{\eta^2}, a \in C([0, 1], [0, \infty)), f: [0, 1] \times [0, +\infty) \times \mathbf{R} \rightarrow [0, +\infty)$ is continuous and $a(t_0) > 0$ when $t_0 \in [\eta, 1]$.

Keywords: Positive solution; Existence and uniqueness; Integral boundary value problem; Generalized contractive fixed point theorem
(2010, MSC 26A33)

1 引言

许多实际问题可归结为常微分方程边值问题. 近年来, 很多学者对带有一般边值条件^[1-6]和积分边值条件^[7,8]的常微分方程边值问题进行了研究.

2008年, 文献[9]获得了二阶三点边值问题

$$x''(t) + f(t, x) = 0, t \in (0, 1), \\ x'(0) = 0, x(1) = \delta x(\eta)$$

解的存在唯一性, 其中 $f \in C(I \times \mathbf{R}, \mathbf{R}), I = [0, 1], 0 < \eta < 1, \delta > 0$. 2008年, 王和刘^[10]讨论了二阶三点边值问题

$$-u'' = b(t)f(u(t)), t \in (0, 1), \\ u'(0) = 0, u(1) = \alpha u(\eta)$$

正解的存在性与多重性, 其中常数 $\alpha, \eta \in (0, 1), f \in C((0, \infty), (0, \infty)), b \in C([0, 1], [0, \infty))$, 且存在

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$t_0 \in [0, 1]$ 使得 $b(t_0) > 0$. 2010 年, 姚^[11] 研究了二阶非线性积分边值问题

$$u'' + a(t)f(t, u(t)) = 0, t \in (0, 1),$$

$$u(0) = 0, u(1) = \alpha \int_0^\eta u(s) ds$$

正解的存在性, 其中 $0 < \eta < 1, 0 < \alpha < \frac{2}{\eta^2}, \alpha \in C([0, 1], [0, \infty))$, 且存在 $t_0 \in [\eta, 1]$ 使得 $a(t_0) > 0$. 2014 年, Berzig^[12] 介绍了广义 Krasnoselskii's 压缩不动点定理并证明了两点边值问题

$$u'' = f(t, u(t)), t \in I,$$

$$u(a) = \alpha, u(b) = \beta$$

解的存在性, 这里 $I = [a, b], 0 < a < b < \infty$, 且 $f: I \times \mathbf{R} \rightarrow \mathbf{R}$.

本文主要运用双度量空间中广义 Krasnoselskii's 压缩不动点定理研究二阶非线性积分边值问题

$$\begin{cases} u'' + a(t)f(t, u(t), u'(t)) = 0, t \in (0, 1) & (1) \\ u(0) = 0, u(1) = \alpha \int_0^\eta u(s) ds & (2) \end{cases}$$

正解的存在唯一性. 与文献[11]相比, 本文方法不同且非线性项 f 中含一阶导数项 u' .

2 预备知识

为证明问题(1)-(2)正解的存在唯一性, 本文假设:

(H1) f 是连续的;

$$(H2) |f(t, u, u') - f(t, v, v')| \leq \frac{2(2 - \alpha\eta^2)^2}{\pi(2\alpha\eta^2 + 1)}$$

$$\arctan[(|u - v|) + (|u' - v'|)], \forall u, v, u', v' \in C^1[0, 1].$$

记

$$d(u, v) = \max(|u(t) - v(t)| + |u'(t) - v'(t)|), t \in [0, 1],$$

$$\delta(u, v) = \frac{1}{2}d(u, v), u, v, u', v' \in C^1[0, 1],$$

θ 是 $\theta: [0, \infty) \rightarrow [0, \infty)$ 的辅助函数族, 对每个 $t > 0$ 都有 $\lim_{n \rightarrow \infty} \theta^n(t) = 0$. 这里 $\theta^n(t)$ 是 θ 的 n 次迭代, 且对每个 $\theta \in \theta$, 当 $t > 0$ 时都有 $0 < \theta(t) < t$.

定义 2.1^[12] 设 (X, d) 是一个度量空间, 且 $T: X \rightarrow X$ 是一个映射. 如果任意 $0 < a < b < \infty$, 存在 $\theta_{(a,b)} \in \theta$, 有

$$\forall x, y \in X, a \leq d(x, y) \leq b \Rightarrow d(Tx, Ty) \leq \theta_{a,b}(d(x, y)),$$

则 T 是一个广义 Krasnoselskii's 压缩映射.

定理 2.2^[12] 设 (X, δ, d) 是一个双度量空间, 定义算子 $T: X \rightarrow X$, 当满足下列条件:

- (i) X 中的每个 d -Cauchy 列也是 δ -Cauchy 列;
- (ii) X 关于 δ 是完备的;
- (iii) T 是广义的 Krasnoselskii's 压缩映射, 则 T 在 X 中存在唯一的不动点.

引理 2.3^[11] 设 $\alpha\eta^2 \neq 2, p(t) \in C[0, 1]$, 则问题

$$\begin{cases} u'' + p(t) = 0, t \in (0, 1) & (3) \\ u(0) = 0, u(1) = \alpha \int_0^\eta u(s) ds & (4) \end{cases}$$

有解

$$u(t) = - \int_0^t (t-s)p(s) ds - \frac{\alpha t}{2 - \alpha\eta^2} \int_0^\eta (\eta-s)^2 p(s) ds + \frac{2t}{2 - \alpha\eta^2} \int_0^1 (1-s)p(s) ds.$$

由引理 2.3 知, 问题(1)-(2)有解

$$u(t) = - \int_0^t (t-s)a(s)f(s, u(s), u'(s)) ds - \frac{\alpha t}{2 - \alpha\eta^2} \int_0^\eta (\eta-s)^2 a(s)f(s, u(s), u'(s)) ds + \frac{2t}{2 - \alpha\eta^2} \int_0^1 (1-s)a(s)f(s, u(s), u'(s)) ds. \tag{5}$$

定义算子 $T: C^1[0, 1] \rightarrow C^1[0, 1]$,

$$(Tu)(t) = - \int_0^t (t-s)a(s)f(s, u(s), u'(s)) ds - \frac{\alpha t}{2 - \alpha\eta^2} \int_0^\eta (\eta-s)^2 a(s)f(s, u(s), u'(s)) ds + \frac{2t}{2 - \alpha\eta^2} \int_0^1 (1-s)a(s)f(s, u(s), u'(s)) ds.$$

引理 2.4 T 是广义 Krasnoselskii's 压缩算子.

证明 对任意的 $u, v, u', v' \in C^1[0, 1]$, 考虑

$$\begin{aligned} |(Tu)(t) - (Tv)(t)| &= \\ &| \int_0^1 G(t, s)[f(s, u(s), u'(s)) - f(s, v(s), v'(s))] ds | \leq \\ & \int_0^1 G(t, s) | [f(s, u(s), u'(s)) - f(s, v(s), v'(s))] | ds \leq \\ & \int_0^1 G(t, s) ds \int_0^1 \frac{2(2 - \alpha\eta^2)^2}{\pi(2\alpha\eta^2 + 1)} \arctan(|u(s) - v(s)| + |u'(s) - v'(s)|) ds \leq \end{aligned}$$

$$\frac{2(2-\alpha\eta^2)^2}{\pi(2\alpha\eta^2+1)} \frac{2\alpha\eta^2+1}{2(2-\alpha\eta^2)} \arctan(d(u,v)) \leq \frac{(2-\alpha\eta^2)}{\pi} \arctan(d(u,v)),$$

其中, 很容易得到, 对 $\forall t \in (0, 1)$, 有

$$\int_0^1 G(t,s) ds = \max \left\{ \frac{1}{2(2-\alpha\eta^2)}, \frac{2\alpha\eta^2+1}{2(2-\alpha\eta^2)} \right\} = \frac{2\alpha\eta^2+1}{2(2-\alpha\eta^2)}.$$

$$\max |(Tu)(t) - (Tv)(t)| \leq \frac{2(2-\alpha\eta^2)^2}{\pi(2\alpha\eta^2+1)} \arctan(d(u,v)),$$

其中

$$\theta_{0,1}(d(u,v)) = \frac{2(2-\alpha\eta^2)^2}{\pi(2\alpha\eta^2+1)} \arctan(d(u,v)).$$

因此

$$d(Tu, Tv) \leq \theta_{0,1}(d(u,v)),$$

$$|(Tu)'(t) - (Tv)'(t)| = \left| \int_0^1 G_t(t,s) [f(s,u(s),u'(s)) - f(s,v(s),v'(s))] ds \right| \leq \int_0^1 G_t(t,s) ds \int_0^1 |f(s,u(s),u'(s)) - f(s,v(s),v'(s))| ds \leq \frac{2(2-\alpha\eta^2)}{\pi(2\alpha\eta^2+1)} \arctan(d(u,v)) \cdot \max \int_0^1 G_t(t,s) ds \leq \frac{2(2-\alpha\eta^2)}{\pi(2\alpha\eta^2+1)} \frac{2}{2-\alpha\eta^2} \arctan(d(u,v)) \leq \frac{4(2-\alpha\eta^2)}{\pi(2\alpha\eta^2+1)} \arctan(d(u,v)),$$

其中

$$\theta_{0,1}(d(u,v)) = \frac{4(2-\alpha\eta^2)}{\pi(2\alpha\eta^2+1)} \arctan(d(u,v)).$$

因此

$$d((Tu)' - (Tv)') \leq \theta_{0,1}(d(u,v)).$$

由上得 T 是 $C^1[0, 1]$ 上的广义 Krasnoselskii's 压缩算子.

3 主要结果

定理 3.1 若 (H1)-(H2) 成立, 则 (1)-(2) 存在唯一的不动点 $x^* \in C^1[0, 1]$.

证明 首先构造序列 $\{f(x_n)\}$. 设 $f(x) \in C^1[0, 1], f(x_1) = T(f(x_0))$. 如果 $f(x_1) = f(x_0)$, 结论得证. 如果 $f(x_1) \neq f(x_0)$, 则对充分大的整数 n_0 , 当 $\frac{1}{n_0} \leq d(f(x_0), f(x_1)) \leq n_0$ 时, 由定义 2.1 有

$$d(f(x_1), f(x_0)) = d(T(f(x_0)), T(f(x_1))) \leq \theta_{n_0, n_0}^\perp(d(f(x_0), f(x_1))) < d(f(x_0), f(x_1)).$$

设 $f(x_2) = T(f(x_1))$. 如果 $f(x_2) = f(x_1)$, 则 $T(f(x_1)) = f(x_1)$, 结论得证. 如果 $f(x_2) \neq f(x_1)$, 则对充分大的整数 n_1 , 当 $\frac{1}{n_1} \leq d(f(x_1), f(x_2)) \leq n_1$ 时, 由定义 2.1 有

$$d(f(x_2), f(x_1)) = d(T(f(x_1)), T(f(x_2))) \leq \theta_{n_1, n_1}^\perp(d(f(x_1), f(x_2))) < d(f(x_1), f(x_2)).$$

继续上述过程, 假设对所有的 $n \geq 0$, 当 $f(x_{n+1}) \neq f(x_n)$ 时可得序列 $\{f(x_n)\}$. 则对所有的 $k > 0$ 及充分大的整数 n_k , 当 $\frac{1}{n_k} \leq d(f(x_{k+1}), f(x_k)) \leq n_k$ 时, 由定义 2.1 有

$$d(f(x_{k+1}), f(x_k)) = d(T(f(x_k)), T(f(x_{k+1}))) \leq \theta_{n_k, n_k}^\perp(d(f(x_k), f(x_{k+1}))) < d(f(x_k), f(x_{k+1})).$$

因此可知非负序列 $\{d(f(x_n), f(x_{n+1}))\}$ 是非减的. 所以

$$\lim_{n \rightarrow \infty} d(f(x_n), f(x_{n+1})) = \epsilon \geq 0 \tag{6}$$

下证 $(C^1[0, 1], \delta)$ 是完备的. 需证 $\{f(x_n)\}$ 关于 δ 是 Cauchy 列. 我们先证 $\{f(x_n)\}$ 关于 d 是 Cauchy 列. 要证式 (6) 中 $\epsilon = 0$ 反设 $\epsilon > 0$. 则对充分大的整数 N , 有

$$\epsilon \leq d(f(x_{N+k-1}), f(x_{N+k})) \leq \epsilon + 1, k = 1, 2, \dots.$$

由定义 2.1 有

$$d(f(x_{N+k}), f(x_{N+k+1})) = d(T(f(x_{N+k-1})), T(f(x_{N+k}))) \leq \theta_{\epsilon, \epsilon+1}(d(f(x_{N+k-1}), f(x_{N+k}))), k = 1, 2, \dots,$$

$$d(f(x_{N+k-1}), f(x_{N+k})) = d(T(f(x_{N+k-2})), T(f(x_{N+k-1}))) \leq \theta_{\epsilon, \epsilon+1}(d(f(x_{N+k-2}), f(x_{N+k-1}))), k = 1, 2, \dots.$$

所以

$$d(f(x_{N+k}), f(x_{N+k+1})) \leq \theta_{\epsilon, \epsilon+1}^2(d(f(x_{N+k-2}), f(x_{N+k-1}))).$$

继续上述过程得

$$d(f(x_{N+k}), f(x_{N+k+1})) \leq \theta_{\epsilon, \epsilon+1}^k(d(f(x_N), f(x_{N+1}))) \leq$$

$$\theta_{\epsilon, \epsilon+1}^k(\epsilon+1), k=1, 2, \dots.$$

又由于 $\lim_{k \rightarrow \infty} \theta_{\epsilon, \epsilon+1}^k(\epsilon+1) = 0$, 所以 $\lim_{k \rightarrow \infty} (d(f(x), f(x_{N+1}))) = 0$. 矛盾!

设对任意的 $\epsilon > 0$, 存在 $N > 0$, 使得

$$d(f(x_N), f(x_{N+1})) \leq \frac{\epsilon}{2} - \frac{1}{2} \theta_{\frac{\epsilon}{2}, \epsilon}(\epsilon) \quad (7)$$

令 $B = \{f(x) \in C^1[0, 1], d(f(x), f(x_N)) \leq \epsilon\}$. 下证 $T(B) \subseteq B$. 分两种情况进行讨论:

(i) 若 $d(f(x), f(x_N)) \leq \frac{\epsilon}{2}$, 则对充分大的整数 L 有 $\frac{1}{L} d(f(x), f(x_N)) \leq \epsilon$. 则

$$\begin{aligned} d(T(f(x), f(x_N))) &\leq \\ d(T(f(x), f(x_{N-1}))) + \\ d(T(f(x_{N-1}), f(x_N))) &\leq \\ \theta_{\frac{1}{L}, \frac{\epsilon}{2}}(d(f(x), f(x_N)) + \\ d(f(x_N), f(x_{N+1}))) &\leq \\ \theta_{\frac{1}{L}, \frac{\epsilon}{2}}(\frac{\epsilon}{2}) + \frac{\epsilon}{2} + \frac{1}{2} \theta_{\frac{\epsilon}{2}, \epsilon}(\epsilon) &\leq \\ \frac{\epsilon}{2} + \frac{\epsilon}{2} - \frac{1}{2} \theta_{\frac{\epsilon}{2}, \epsilon}(\epsilon) &\leq \epsilon. \end{aligned}$$

(ii) 若 $\frac{\epsilon}{2} \leq d(f(x), f(x_N)) \leq \epsilon$, 有

$$\begin{aligned} d(T(f(x), f(x_N))) &\leq \\ d(T(f(x), f(x_{N-1}))) + \\ d(T(f(x_{N-1}), f(x_N))) &\leq \\ \theta_{\frac{\epsilon}{2}, \epsilon}(d(f(x), f(x_{N-1})) + \\ d(f(x_N), f(x_{N+1}))) &\leq \\ \theta_{\frac{\epsilon}{2}, \epsilon}(\epsilon) + \frac{\epsilon}{2} - \frac{1}{2} \theta_{\frac{\epsilon}{2}, \epsilon}(\epsilon) &\leq \\ \frac{\epsilon}{2} + \frac{\epsilon}{2} - \frac{1}{2} \theta_{\frac{\epsilon}{2}, \epsilon}(\epsilon) &\leq \epsilon. \end{aligned}$$

所以 $T(B) \subseteq B$. 由式(7)可得 $f(x_{N+1}) \in B, f(x_{N+2}) \in B, \dots$, 即当 $n > N$ 时, $f(x_n) \in B$. 从而证得 $\{f(x_n)\}$ 是 d -Cauchy 列. 进而 $(C^1[0, 1], d)$ 是完备度量空间, 且 $(C^1[0, 1], \delta)$ 也是完备度量空间.

由式(6)可得问题(1)-(2)的 Green 函数

$$G(t, s) = \begin{cases} \frac{2t(1-s)}{2-\alpha\eta^2}, & 0 \leq t \leq \eta \leq s \leq 1, \\ \frac{2t(1-s)}{2-\alpha\eta^2} - \frac{\alpha t(\eta-s)^2}{2-\alpha\eta^2} - (t-s), & 0 \leq s \leq t, \eta \leq 1, \\ \frac{2t(1-s)}{2-\alpha\eta^2} - \frac{\alpha t(\eta-s)^2}{2-\alpha\eta^2}, & 0 \leq t \leq s \leq \eta \leq 1. \end{cases}$$

由引理 2.4 和定理 2.2, T 在 $C^1[0, 1]$ 上存在不动点, 即存在 $x^* \in C^1[0, 1]$, 使得 $x^* = Tx^*$.

最后, 证 T 是唯一的不动点. 假设存在 $y^* \in C^1[0, 1], y^* \neq x^*, Tx^* = x^*, Ty^* = y^*$. 则存在充分大的 n , 使得当 $\frac{1}{n} \leq d(x^*, y^*) \leq n$ 时,

$$\begin{aligned} d(x^*, y^*) = d(Tx^*, Ty^*) &\leq \\ \theta_{\frac{1}{n}, n}(d(x^*, y^*)) &< d(x^*, y^*). \end{aligned}$$

矛盾. 因此 $d(x^*, y^*) = 0$, 即 $x^* = y^*$. 证毕.

4 例子

考虑二阶非线性积分边值问题

$$u'' + \left[\frac{1}{80} + \frac{t^{\frac{1}{2}}(\frac{4}{3}-t)\frac{1}{2}}{20} \right] [\ln(u+1) + u''] = 0, \\ t \in (0, 1), t \in (0, 1), u(0) = 0, u(1) = 6 \int_0^{\frac{1}{2}} u$$

(s)ds.

令

$$f(t, u, u') = \left[\frac{1}{80} + \frac{t^{\frac{1}{2}}(\frac{4}{3}-t)\frac{1}{2}}{20} \right] [\ln(u+1) + u''].$$

容易证得 f 连续且满足

$$\begin{aligned} |f(t, u, u') - f(t, v, v')| &\leq \\ \frac{1}{8\pi} \arctan(|u-v| + |u'-v'|). \end{aligned}$$

则(H1)-(H2)成立. 由定理 3.1, 边值问题存在唯一的不动点.

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